

# One Step Closer to a Muon Collider: Demonstration of Ionization Cooling by MICE

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# Pushing the limits of colliders for discovery ..

- For a circular ring with radius  $R$  and average bending field  $B$

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- Beamstrahlung smears energy resolution even for a linear e+e- collider



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- Decays result in heat load on magnets and backgrounds in detectors
- Neutrino interactions can lead to offsite radiation hazard at very high energies



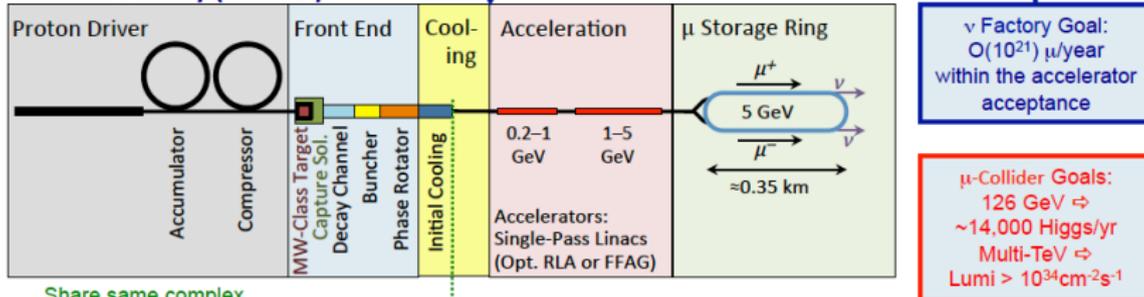
# Muon accelerators

- No natural source for efficient production like e, p
- High-power proton beam on target, solenoidal capture of low-energy charged pions
- which decay into a muon cloud with large phase space volume
- OK for a Neutrino Factory, additional cooling required for a Muon Collider
- Short lifetime means all beam manipulations must be completed before the muons decay
- Ionization cooling the only known practical technique



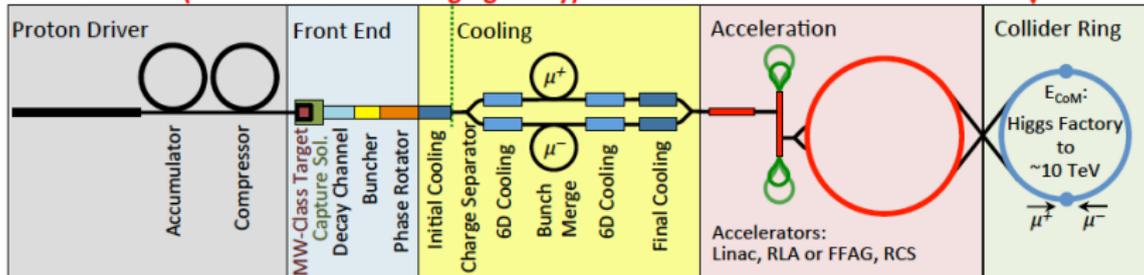
# Muon accelerators

## Neutrino Factory (NuMAX)

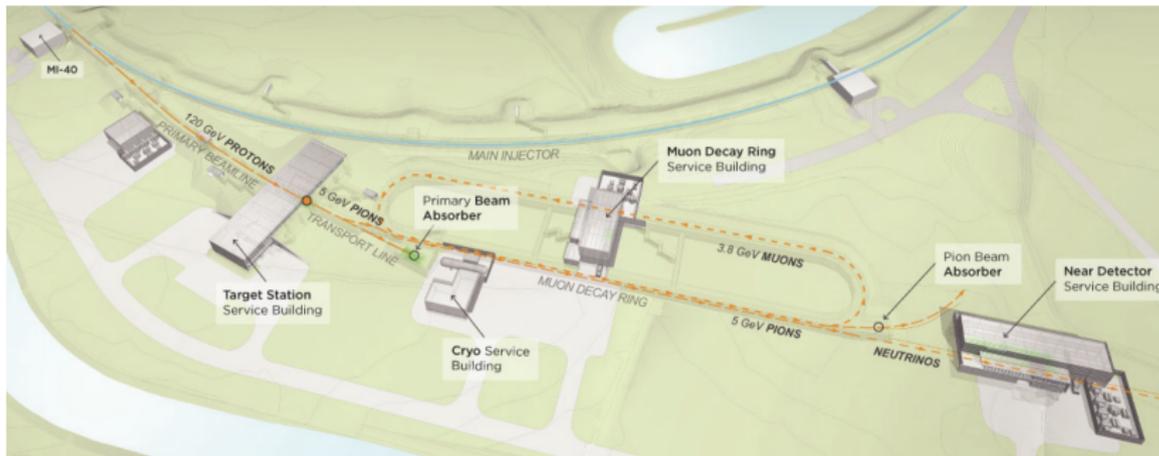
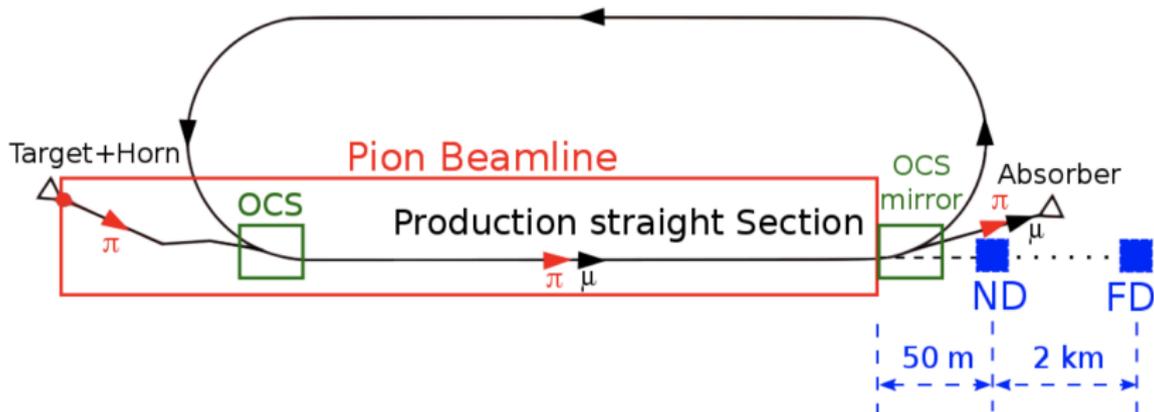


Share same complex

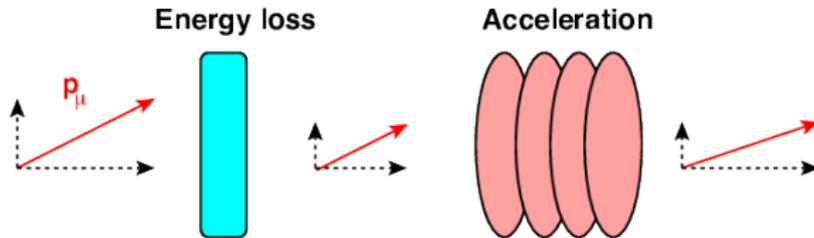
## Muon Collider (Muon Accelerator Staging Study)



# NuSTORM – a hybrid Neutrino Factory



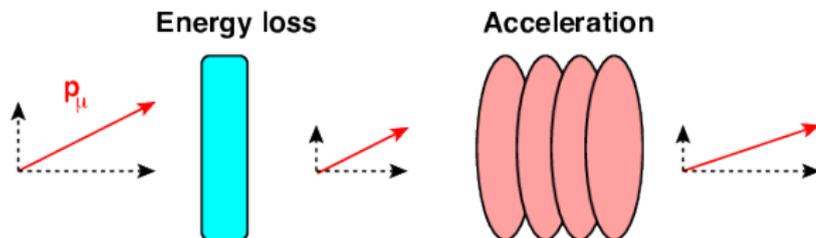
# Ionization cooling



- Energy absorbers to shrink momentum vector



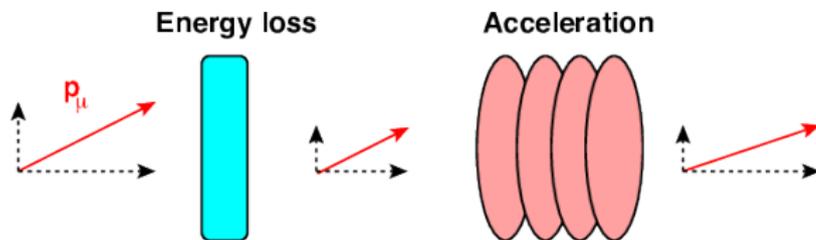
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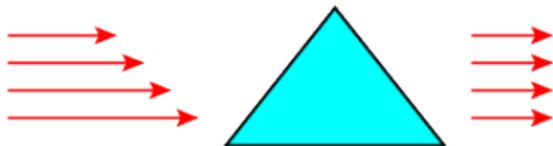
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- Longitudinal component restored with acceleration, resulting in transverse cooling



# Ionization cooling



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- Longitudinal component restored with acceleration, resulting in transverse cooling
- Longitudinal cooling requires momentum-dependent path-length through absorbers



Normalized transverse emittance  $\varepsilon$  of muon beam in solenoidal channel

$$\frac{d\varepsilon}{ds} \simeq -\frac{\langle \frac{dE}{ds} \rangle}{\beta^2 E} (\varepsilon - \varepsilon_0), \quad \varepsilon_0 \simeq \frac{0.875 \text{MeV}}{\langle \frac{dE}{ds} \rangle X_0} \frac{\beta_{\perp}}{\beta}$$

$\varepsilon_0$ : equilibrium emittance (multiple scattering  $\sim$  cooling)



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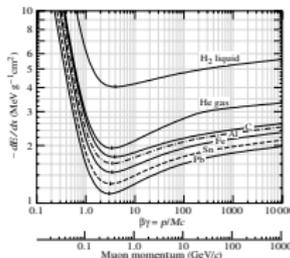
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- Tight packing to minimize decay losses
- Low muon momentum



<https://iopscience.iop.org/journal/1748-0221/page/extraproc46>

Journal of Instrumentation

## Muon Accelerators for Particle Physics (MUON)

Muon accelerators offer unique potential for particle physics applications. The decay of muon beams within a storage ring can provide pure, well-characterized and intense neutrino beams for short- and long-baseline neutrino-oscillation studies—thus providing measurements of key parameters, such as the CP-violating phase, with unmatched precision and uniquely sensitive probes for new physics. Muon beams are not subject to the synchrotron radiation and beamstrahlung limits imposed on electron-positron colliders because the muon mass is 200 times that of the electron. Thus muon beams can be accelerated to TeV-scale energies and stored in collider rings where the beams can interact for many revolutions. For center-of-mass energies  $>1$  TeV, muon colliders provide the most power efficient route to providing a high luminosity lepton collider.

The concept of the muon collider (MC) was first proposed in 1969<sup>1</sup>, while the concept for the neutrino factory (NF) appeared in 1997<sup>2</sup>. The original design concepts have been developed through a series of design studies and a program of accelerator R&D has been carried out to lay the groundwork for deploying these next-generation particle physics capabilities. This volume summarizes work that has been carried out by the U.S. Muon Accelerator Program (MAP)<sup>3</sup>, the International Design Study for a Neutrino Factory (IDS-NF)<sup>4</sup>, and the international Muon Ionization Cooling Experiment (MICE)<sup>5</sup> to establish the design concepts and to carry out the required feasibility R&D for these machines. It summarizes the current state of the designs for short- and long-baseline neutrino factories (including the nuSTORM short-baseline NF, the IDS-NF reference design and the NuMAX long-baseline concept) as well as the current collider concepts. It also summarizes the status of the technology R&D that has been carried out to allow these capabilities to be deployed and, in particular, the efforts underway at MICE to demonstrate the feasibility of producing cooled muon beams.

Dr. Palmer<sup>a</sup> and Prof. Long<sup>b</sup>

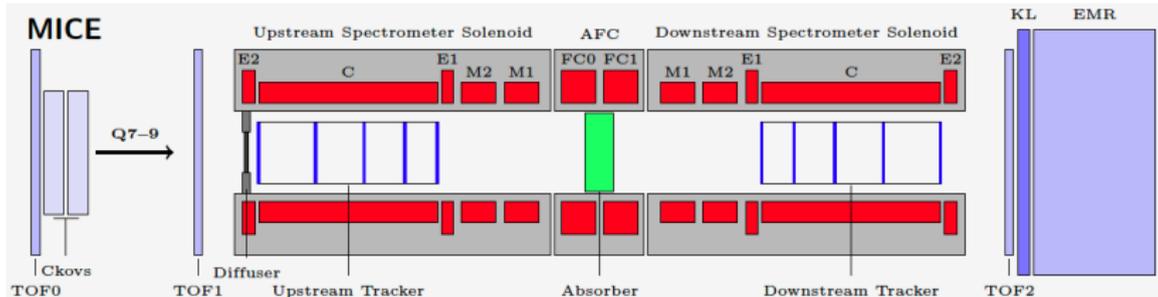


# Muon Ionization Cooling Experiment

- Demonstration of ionization cooling in a setting relevant to muon accelerators
  - measure performance in various modes of operation and beam conditions, thereby investigating the limits and practicality of cooling
  - study aspects critical to performance (multiple scattering, energy loss, phase space evolution)
  - validate design & simulation tools
- Concept
  - track each muon before & after cooling hardware
  - form virtual beams in offline software
  - designed for measuring relative change in emittance to 1%
  - accelerator R&D in the form of a particle physics experiment

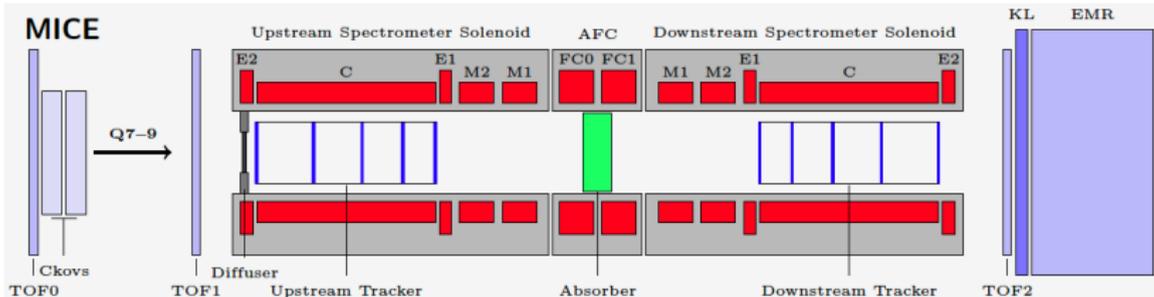


Particle ID – Momentum measurement – Cooling – Momentum measurement – Particle ID



- LiH, LH2, polyethylene (wedge) absorbers
- solenoid (same sign coils) and (sign) flip optics modes

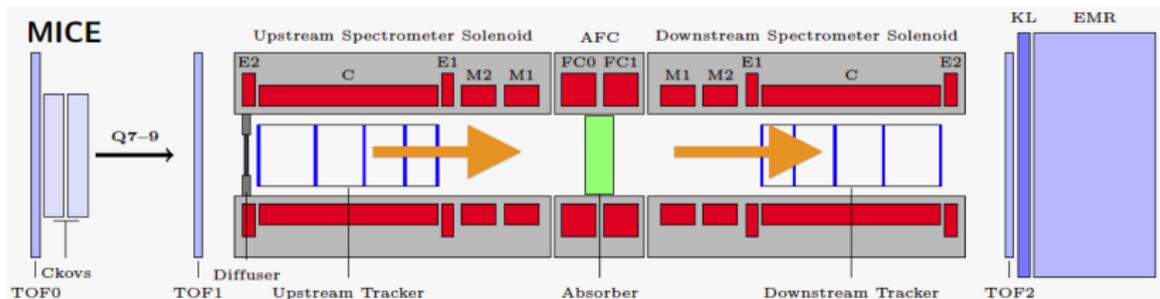
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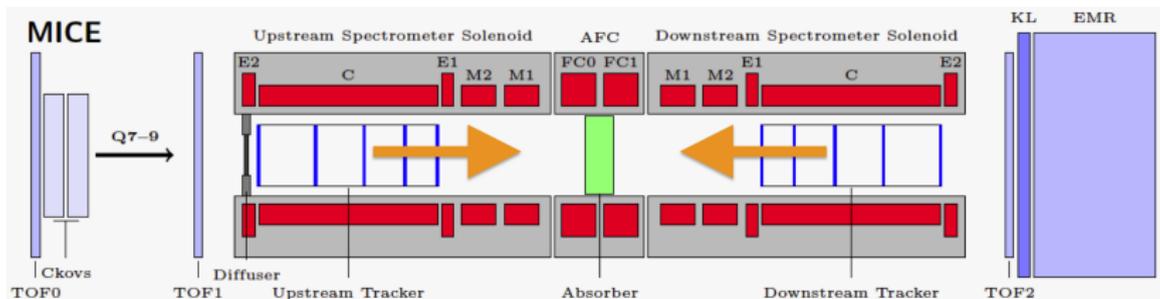


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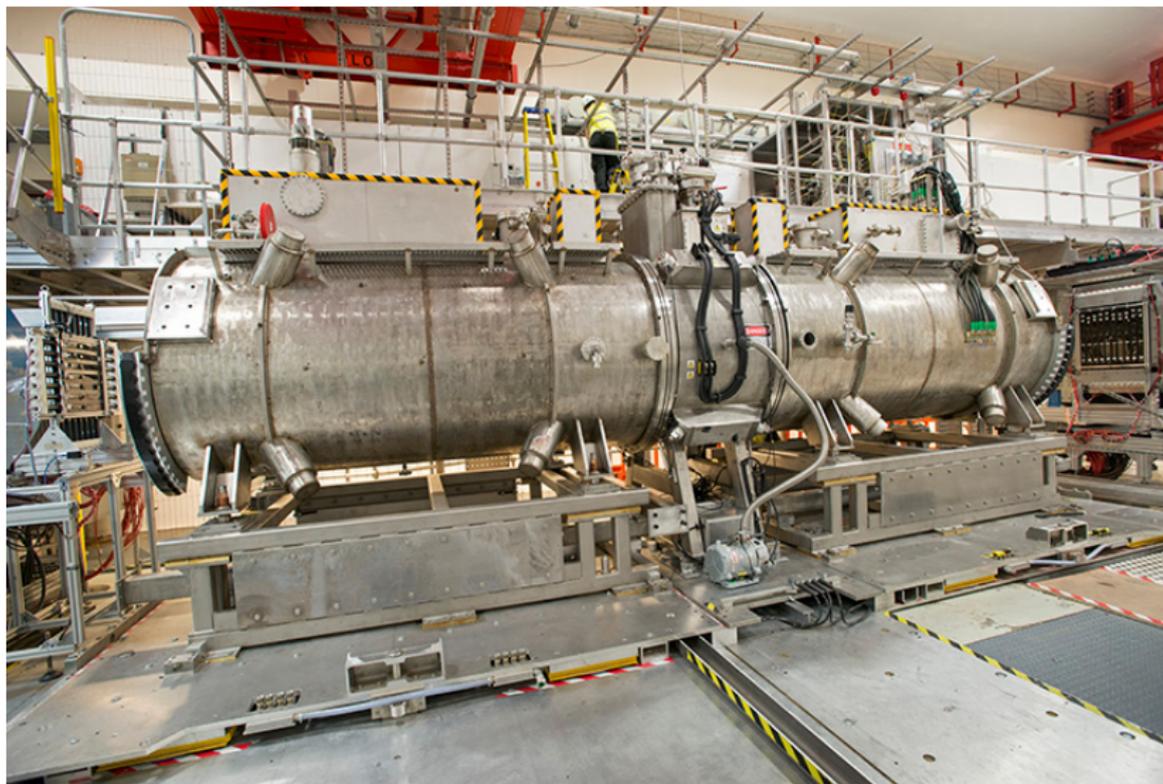
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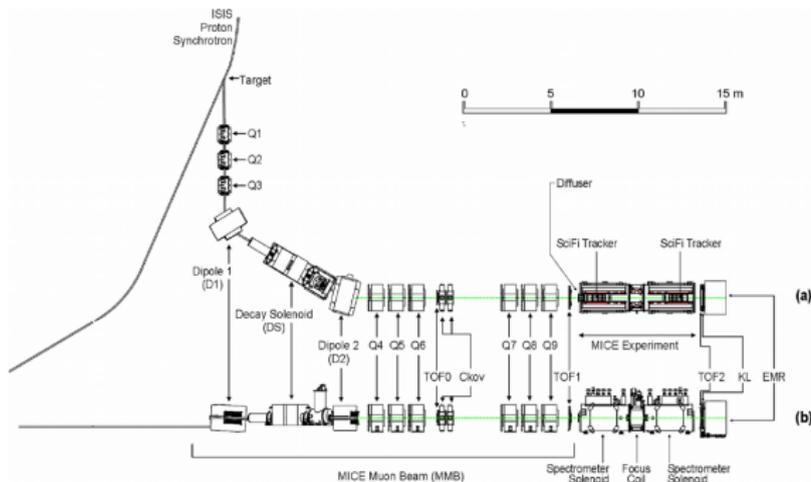
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- Ti target dips into ISIS halo to produce pions
- muon decay solenoid, momentum selection, focusing
- 120-260 MeV/c muons, >99% purity
- 2-10mm emittance using diffuser

- Absorbers
  - 35-cm-thick LH2
  - 6.5-cm-thick LiH
  - polyethylene 45°-wedge
- contained within dual-coil magnet for low- $\beta_{\perp}$  focus
- 40-cm-bore 5-coil spectrometer solenoids providing uniform 4T-field for momentum measurement

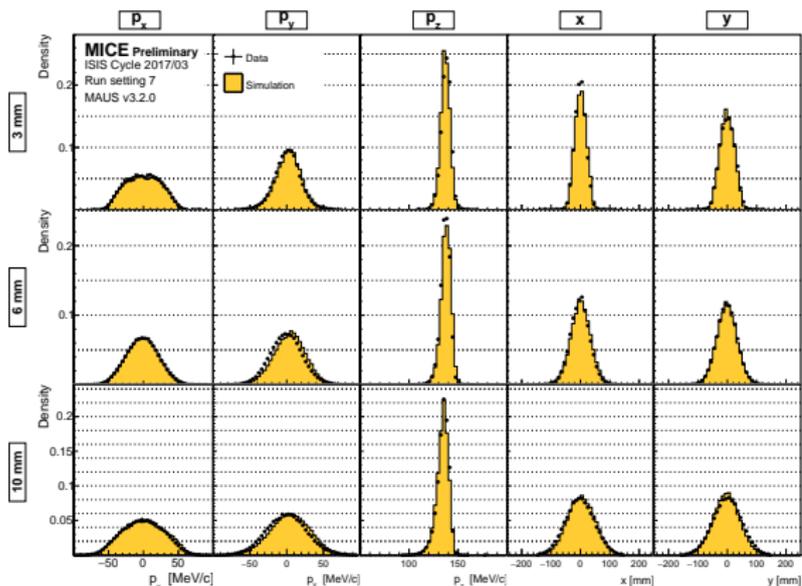


- 2 threshold Cherenkov counters upstream
- 3 ToF walls with 2 planes of scintillator bars each
- 2 scintillating fiber trackers to reconstruct helical path, each with 5 stations and 3 doublet views/station using 0.35mm fibers and VLPC readout (D0 technology)
- Lead-scintillator preshower detector (KL) downstream
- Totally active scintillator calorimeter (EMR) downstream



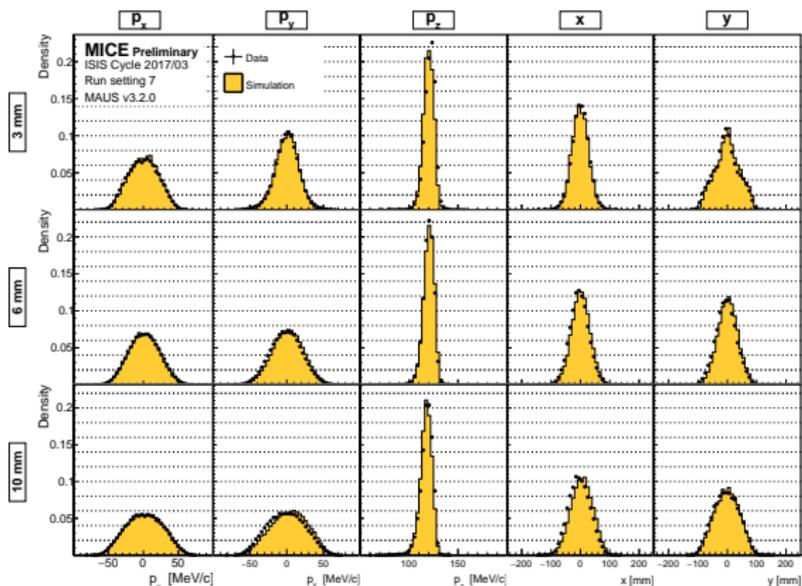
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- Use quantities that are robust and relevant
  - transverse amplitude
  - subemittance, fractional emittance
  - phase space density, core volume



Normalized RMS transverse 4D emittance  $\epsilon_{\perp}$

$$\epsilon_{\perp} = \frac{1}{m_{\mu} c} |\Sigma|^{1/4}$$

defined through phase space covariance matrix  $\Sigma$

$$\Sigma = \begin{pmatrix} \sigma_{xx} & \sigma_{xp_x} & \sigma_{xy} & \sigma_{xp_y} \\ \sigma_{p_x x} & \sigma_{p_x p_x} & \sigma_{p_x y} & \sigma_{p_x p_y} \\ \sigma_{yx} & \sigma_{yp_x} & \sigma_{yy} & \sigma_{yp_y} \\ \sigma_{p_y x} & \sigma_{p_y p_x} & \sigma_{p_y y} & \sigma_{p_y p_y} \end{pmatrix}, \quad \sigma_{ab} = \langle (a - \langle a \rangle)(b - \langle b \rangle) \rangle$$

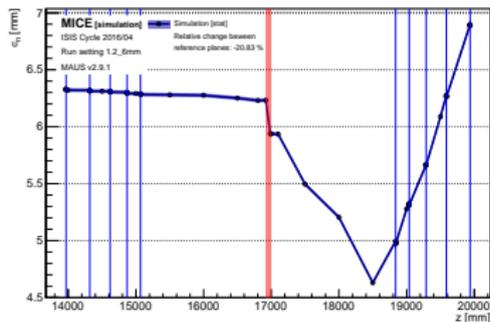
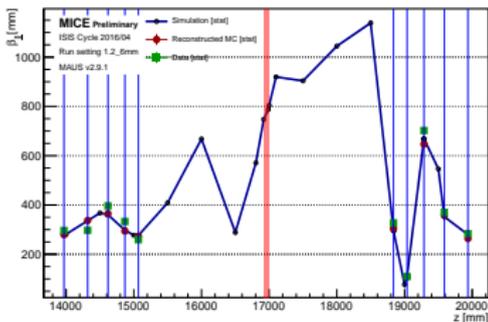
corresponds to volume  $V$  of 4D rms ellipsoid and indicates an average phase space density

$$\rho = \frac{N}{V} = \frac{2}{\pi^2} \frac{N}{|\Sigma|^{1/2}}$$



# Evolution of RMS emittance

- solenoid mode optics, LiH absorber, 6-mm 140-MeV/c input beam
- limited transmission + betatron motion  
⇒ large apparent cooling at downstream tracker plane
- rms emittance is a poor indicator in this case



# Transverse single-particle amplitude

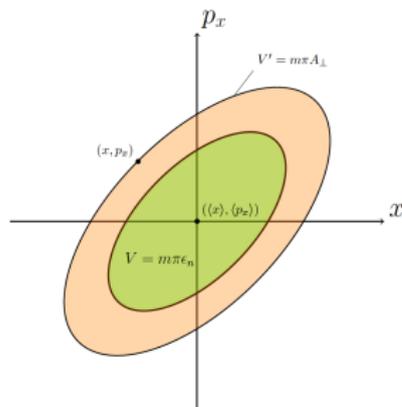
Defined as

$$A_{\perp} = \epsilon_{\perp} \mathbf{u}^T \Sigma^{-1} \mathbf{u}$$

for centered phase space coordinates

$$\mathbf{v} = (x, p_x, y, p_y)$$

$$\mathbf{u} = \mathbf{v} - \langle \mathbf{v} \rangle$$



- Associated with phase space volume similar to rms ellipsoid (emittance)
- Provides density estimate at every sample point

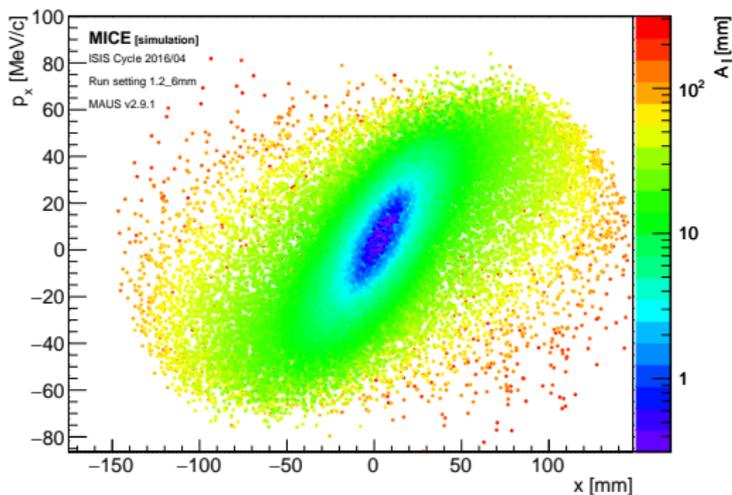
$$\rho(\mathbf{v}_i) = \rho_0 \exp \left[ -\frac{1}{2} \frac{A_{\perp}}{\epsilon_{\perp}} \right]$$

- Allows identification of low  $A_{\perp} \Leftrightarrow$  high  $\rho$  core  
high  $A_{\perp} \Leftrightarrow$  low  $\rho$  tail
- Highest amplitude particles can be removed iteratively to prevent bias



# Amplitude reconstruction example [simulation]

- 6-mm 140-MeV/c input beam, solenoid mode optics
- Last (most downstream) measurement plane

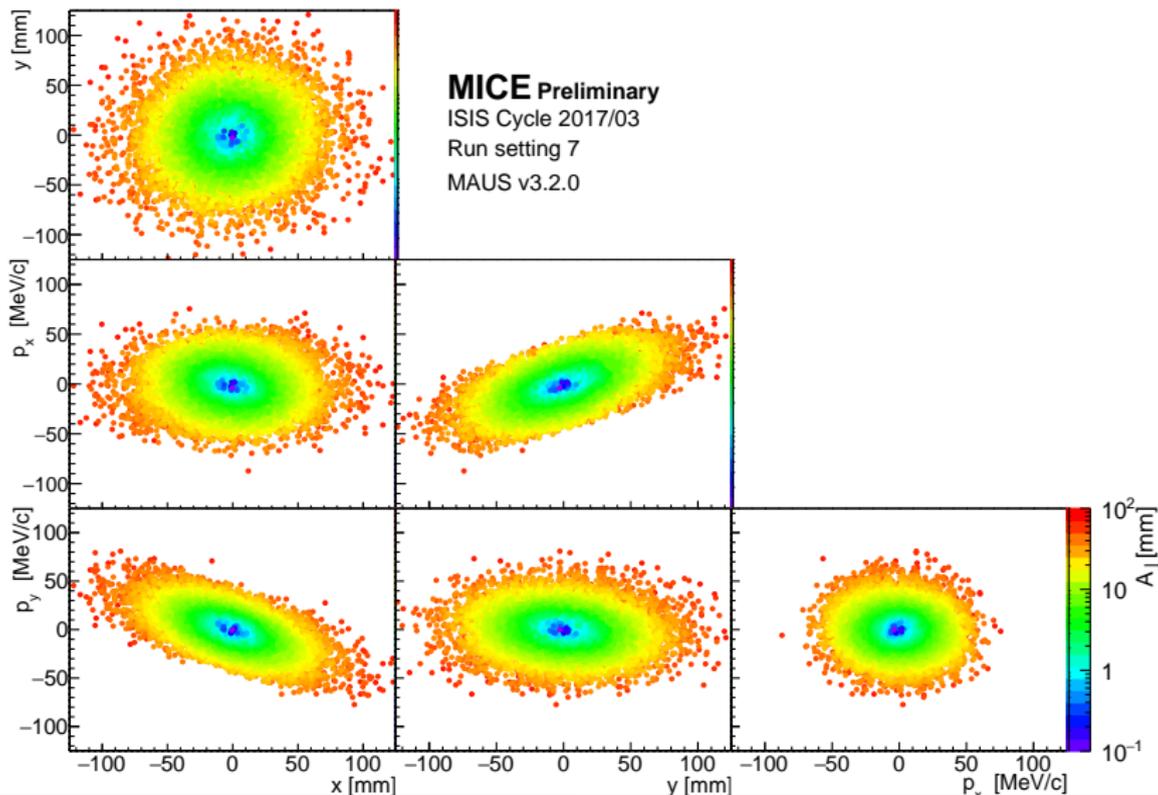


- High-density low-amplitude (cool) Gaussian core
- Low-density high-amplitude (hot) tails



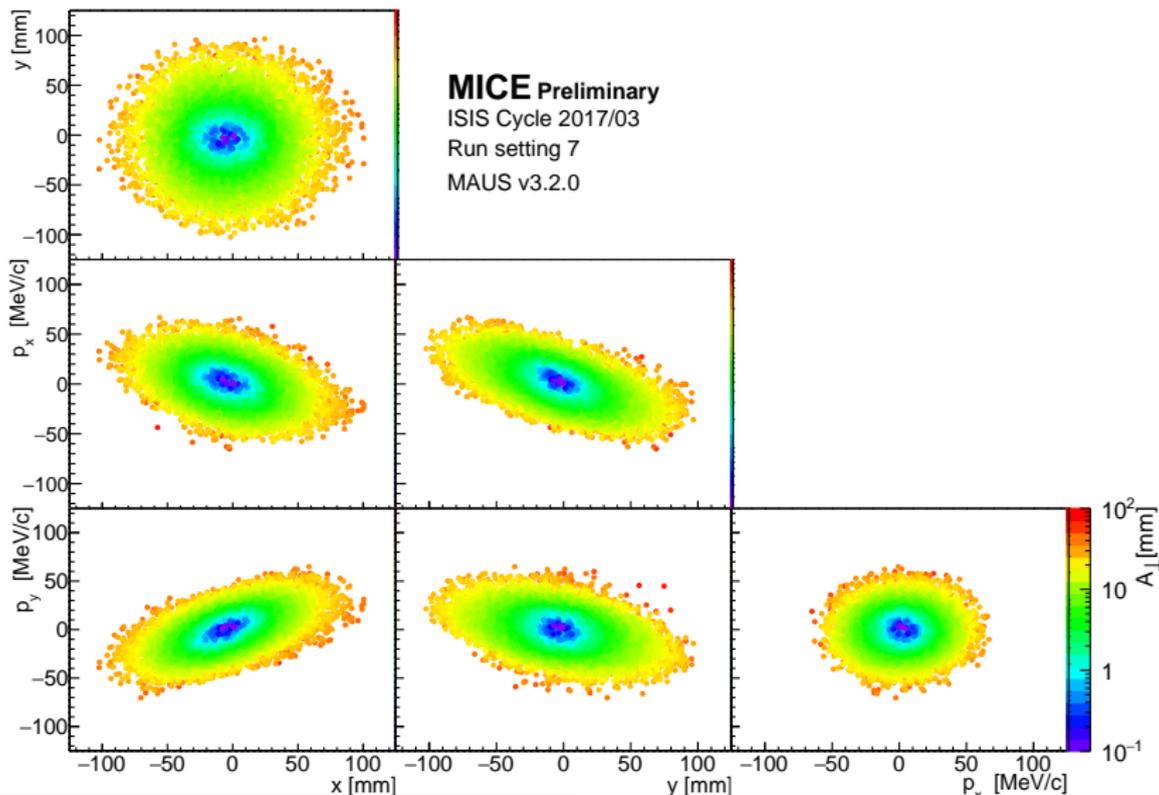
# Poincaré sections [data] (upstream)

## 6-mm 140-MeV/c beam – flip mode – LiH

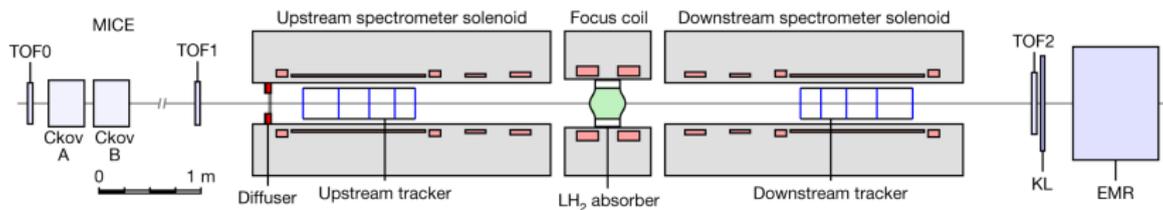
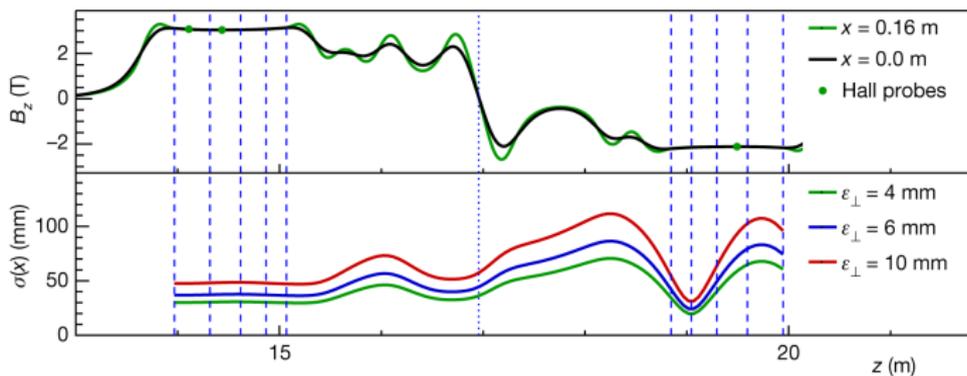


# Poincaré sections [data] (downstream)

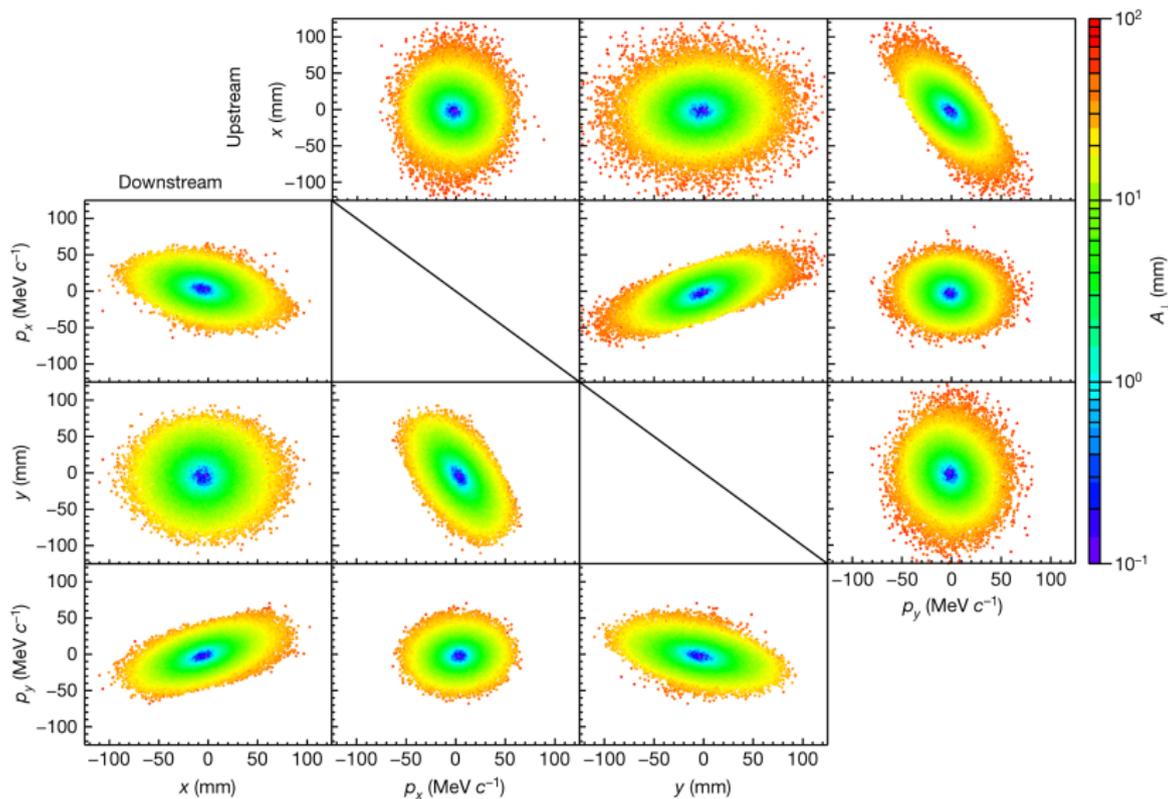
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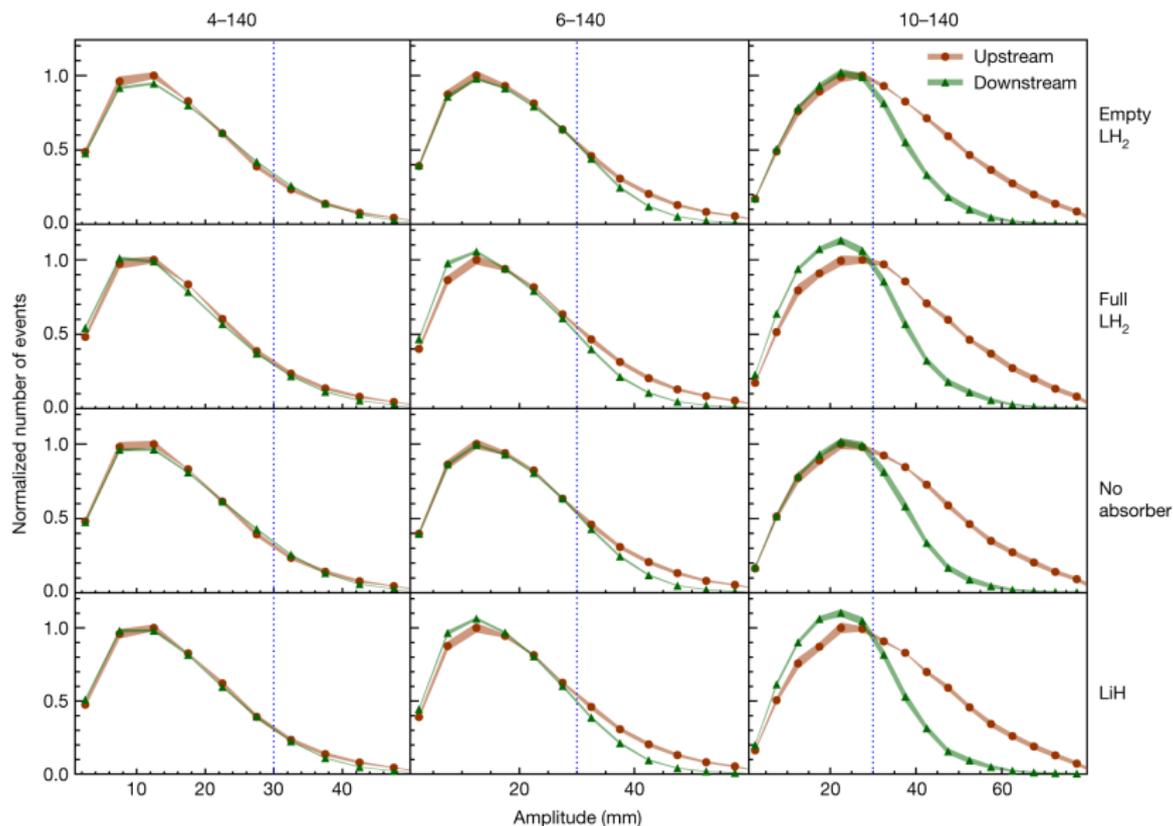
# Layout and Magnetic Field



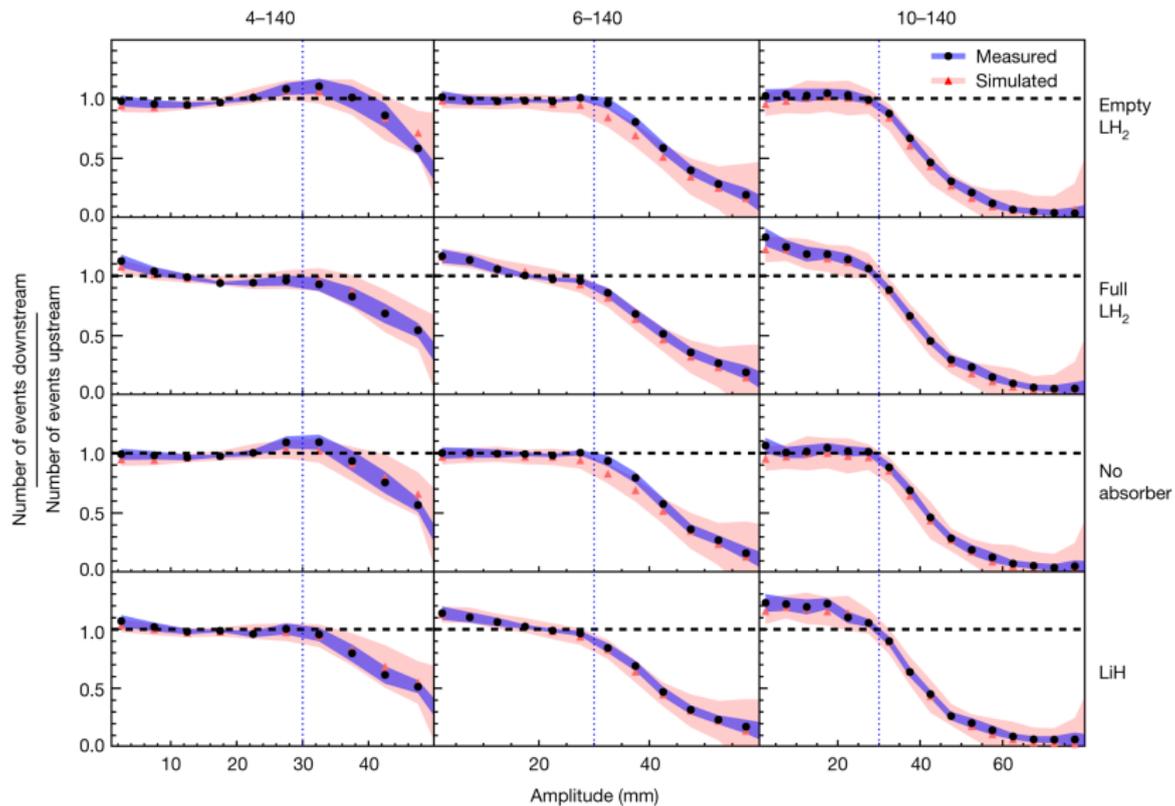
# Phase Space – 6-140 Setting – LH2



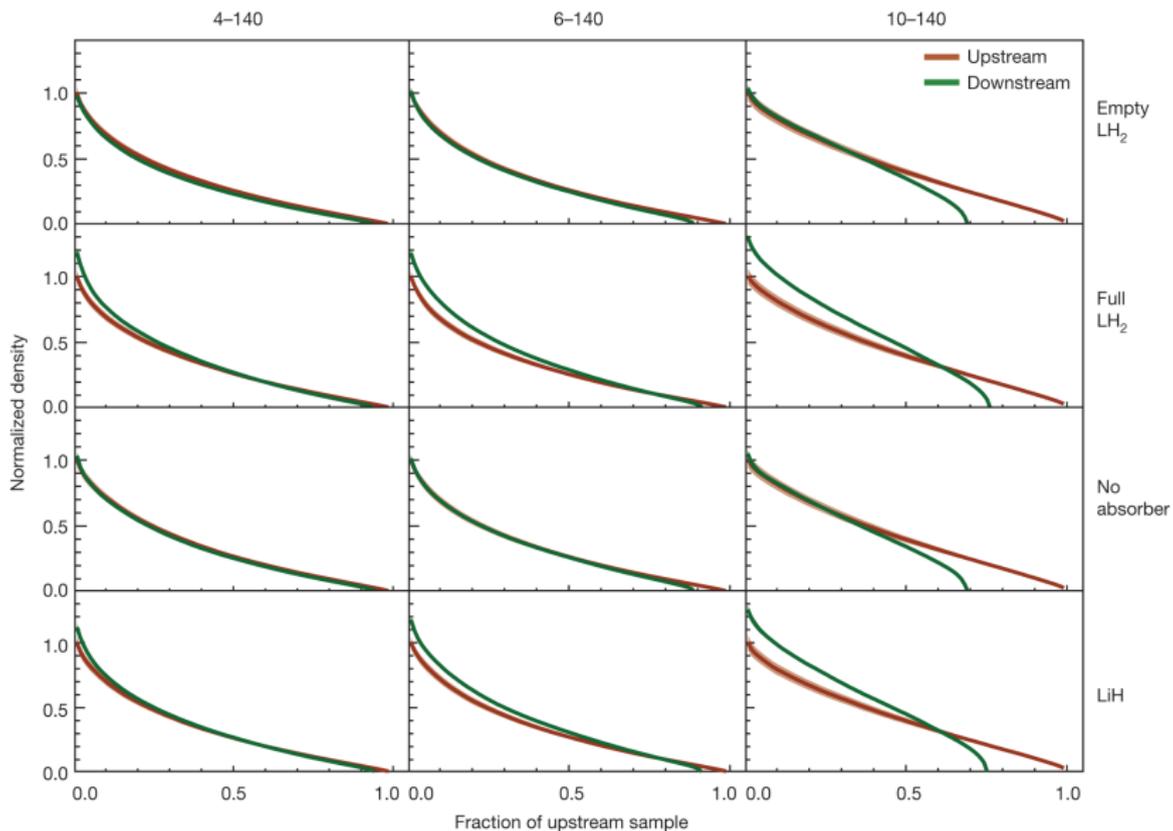
# Amplitude Distributions



# Amplitude Distribution Ratios



# Density Quantiles



- Unique single-particle measurement capabilities, large data sets and mature analysis tools of MICE allow detailed studies of the beam phase space
  - Amplitude based analysis used to avoid artifacts due to nonlinear transport
  - Core density/volume used for selecting the portion of the beam that is transmitted
  - Non-parametric density estimators substantially independent of the underlying distribution



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  - Non-parametric density estimators substantially independent of the underlying distribution
- Successful demonstration of ionization cooling in realistic environment
  - Techniques/results directly applicable to practical muon accelerators



- Initial MICE design included 201-MHz RF acceleration modules
- An RF module prototype was assembled and tested at Fermilab up to 50% higher than design gradient
- 2 production modules were built at LBNL and crated up for shipping
- Experiment was de-scoped and the RF stage removed from the timeline



- For a parent beam of  $n$  particles, select a fraction  $\alpha$  from the core
- $\alpha$ -amplitude  $A_\alpha$  is the largest amplitude in the  $\alpha$ -sample

$$A_\alpha = \epsilon_\perp \text{ at } \alpha=9\% \text{ for Gaussian beam in 4D}$$

- 9% is the  $1\text{-}\sigma$  volume fraction in 4D
- $\alpha$ -subemittance  $e_\alpha$  is defined as the rms emittance of the  $\alpha$ -sample

$$e_\alpha \leq \epsilon_\perp$$

- If an identical fraction  $\alpha$  is selected upstream and downstream

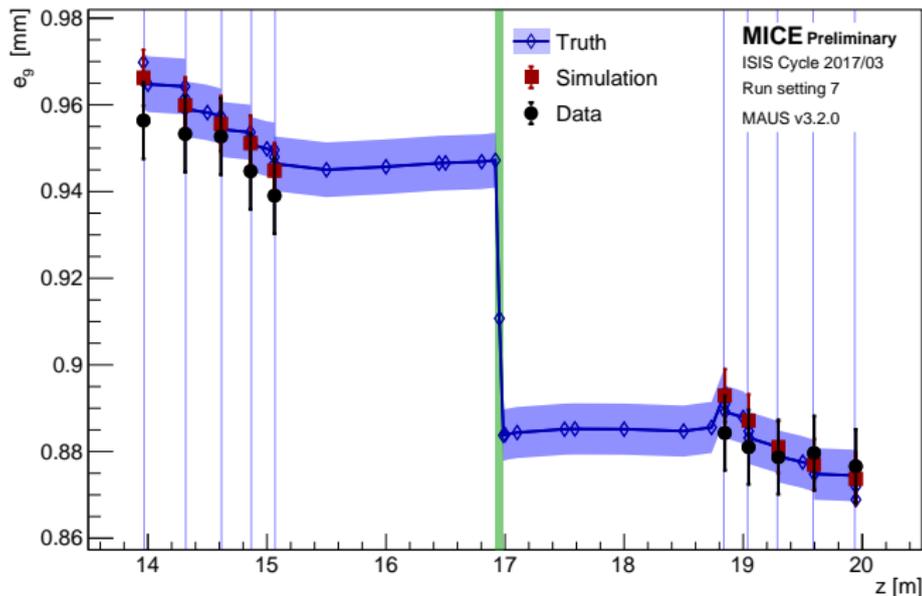
$$\frac{\Delta A_\alpha}{A_\alpha} = \frac{\Delta e_\alpha}{e_\alpha} = \frac{\Delta \epsilon_\perp}{\epsilon_\perp}$$

for Gaussian core with full transmission



# Submittance evolution

## 6-mm 140-MeV/c beam – flip mode – LiH



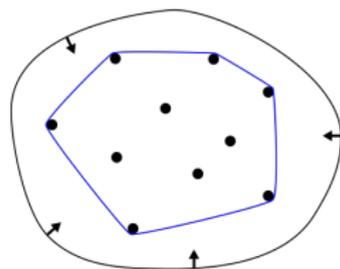
# Fractional emittance

- The  $\alpha$ -fractional emittance  $\epsilon_\alpha$  is defined as the phase space volume occupied by the core fraction  $\alpha$  of the parent beam.
- Found by calculating the volume of the convex hull of the  $\alpha$ -sample (smallest convex set containing all the points)
- For  $\alpha = 9\%$

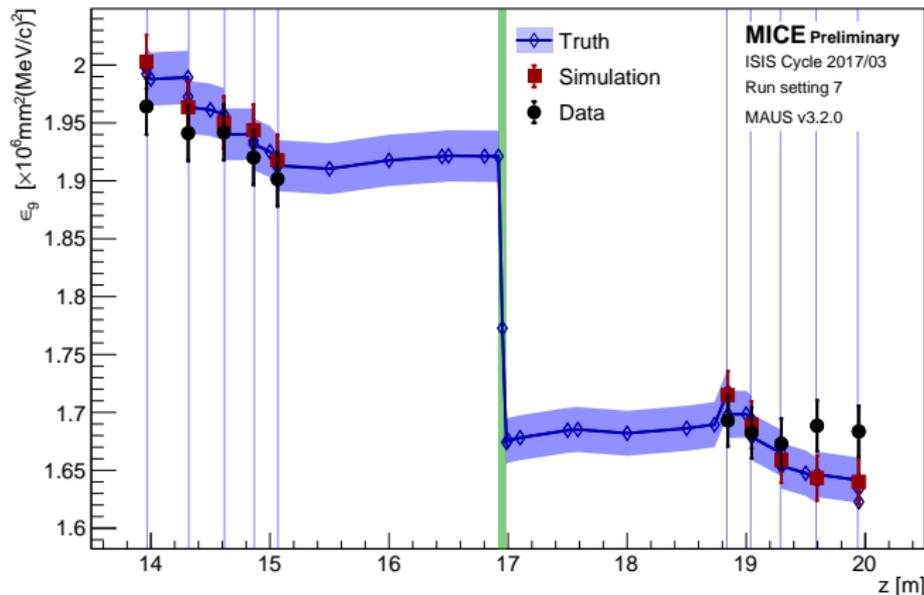
$$\epsilon_\alpha = \frac{1}{2} (\pi m c \epsilon_\perp)^2$$

- For small change

$$\delta = \frac{\Delta \epsilon_\perp}{\epsilon_\perp} \ll 1 \rightarrow \frac{\Delta \epsilon_\alpha}{\epsilon_\alpha} \simeq 2\delta$$



# Fractional (9%) emittance evolution 6-mm 140-MeV/c beam – flip mode – LiH



# Non-parametric density estimation

- Amplitude based methods work well for Gaussian core, small fraction of a nonlinear beam
- Non-parametric density estimators can be used to extend the analysis
- Several methods considered including
  - optimally binned histograms
  - k-nearest neighbors (kNN)
  - tessellation density estimators (TDEs)
  - kernel density estimation (KDE)
- kNN and KDE examples follow



# k-Nearest neighbor algorithm

To find the density  $\rho(\mathbf{x})$  at a point  $\mathbf{x}$  in phase space, identify nearby data points  $\mathbf{x}_i$ . Using the distance  $R_k$  to the  $k$ th-nearest point

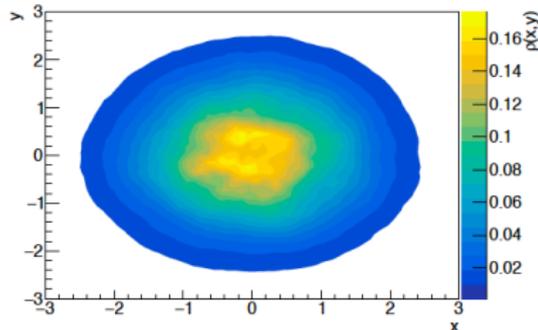
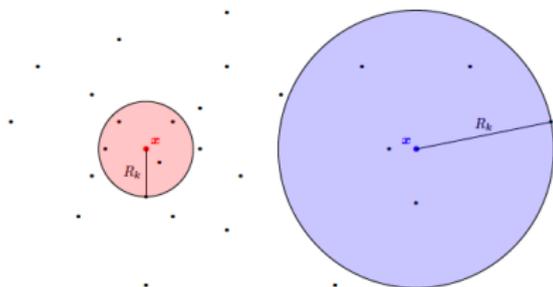
$$\rho(\mathbf{x}) = \frac{k}{V(R_k)}$$

where  $V(R_k)$  is the volume of the 4-ball with radius  $R_k$

$$V = \pi^2 R_k^4 / 2$$

Near optimal results for

$$k = \sqrt{n}$$

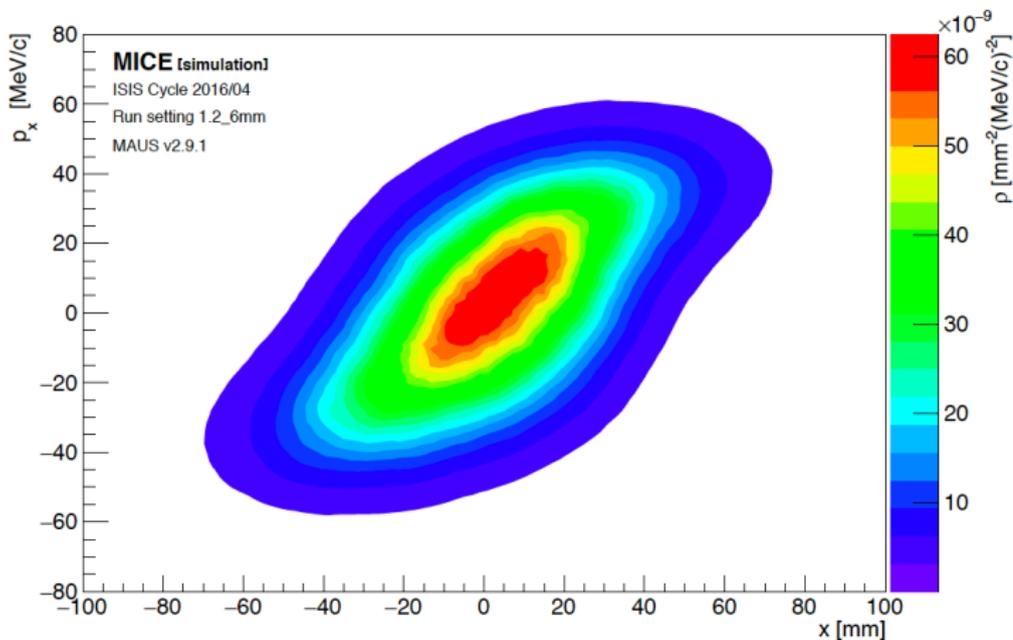


*k*NN density of  $10^3$  Gaussian points



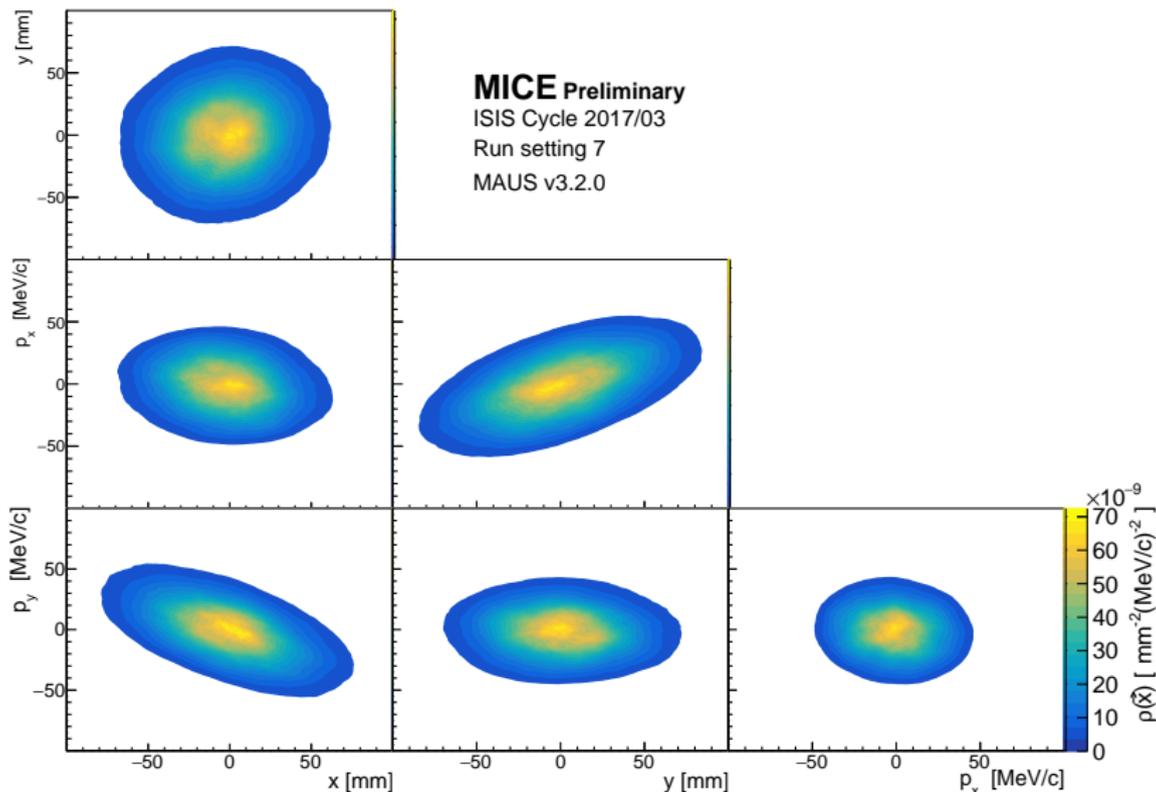
# kNN density estimate [simulation]

- 6-mm 140-MeV/c input beam, solenoid mode optics
- Last (most downstream) tracker plane
- reconstructed 4D density projected to  $(y, p_y) = (0, 0)$

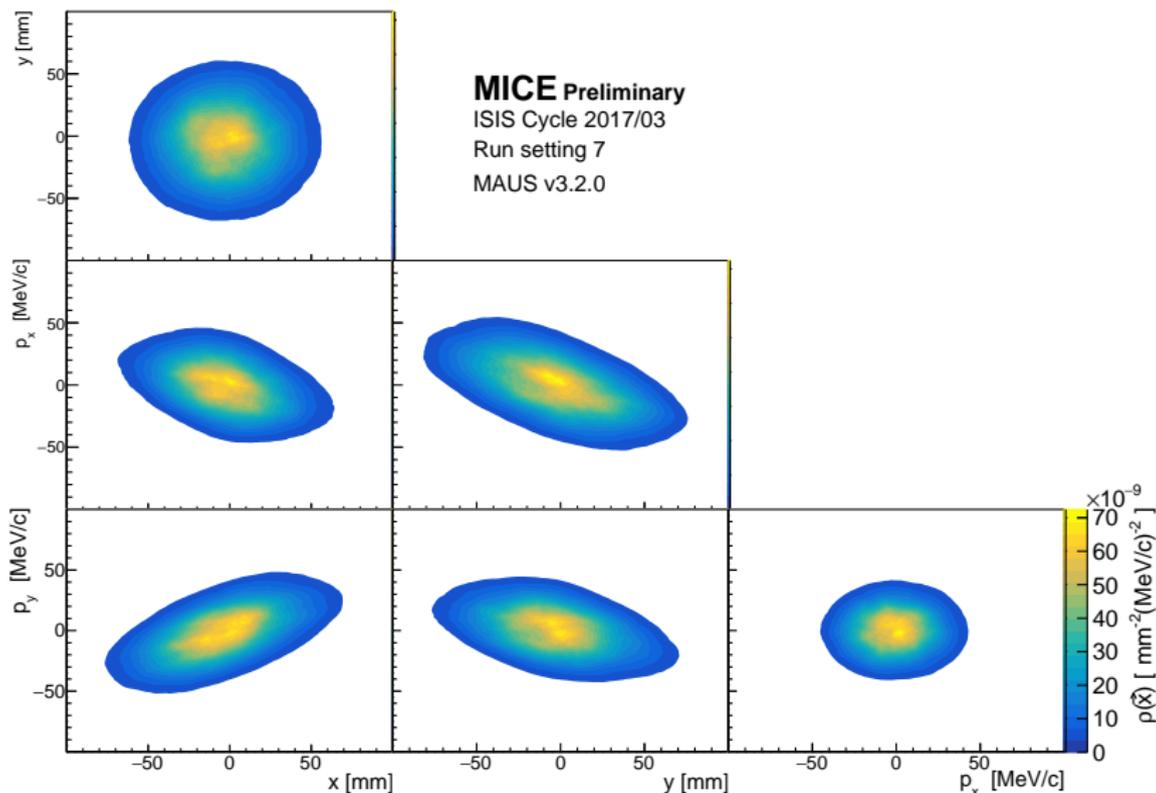


# Poincaré sections [kNN + data] (upstream)

## 6-mm 140-MeV/c beam – solenoid mode – LiH



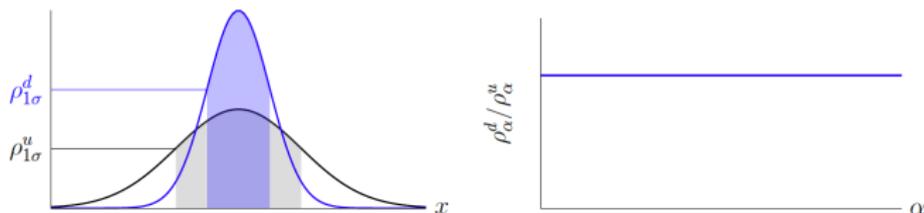
# Poincaré sections [kNN + data] (downstream) 6-mm 140-MeV/c beam – solenoid mode – LiH



- Given the cumulative distribution function  $F$  for the beam
- find the density level  $\rho_\alpha$  ( $\alpha$ -quantile, inverse of CDF) for the contour that encloses core fraction  $\alpha$  of the beam

$$\rho_\alpha = \rho(F^{-1}(\alpha))$$

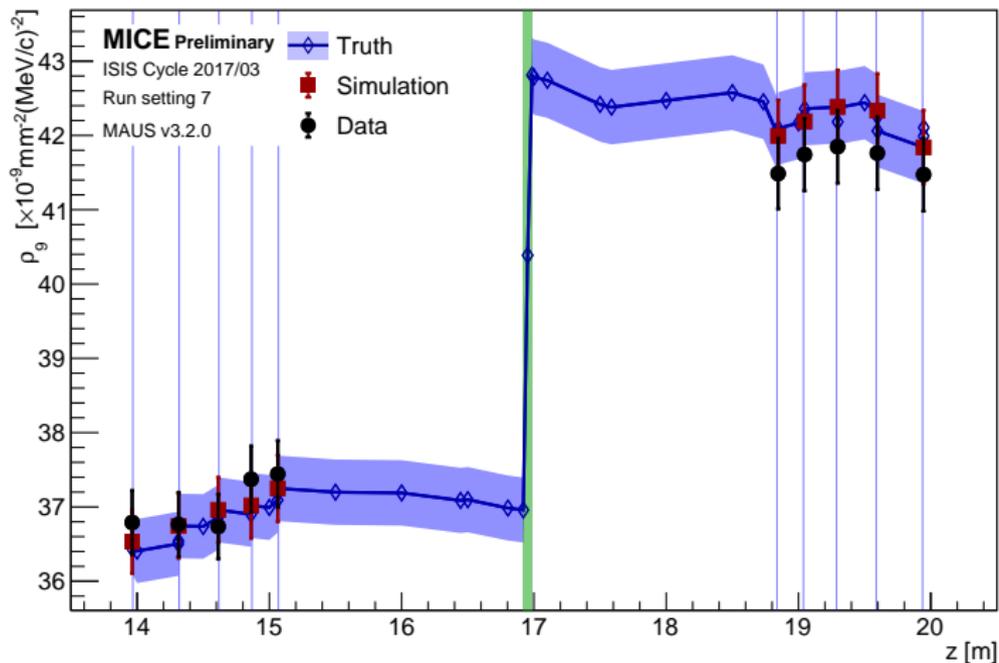
- The evolution of  $\rho_\alpha$  shows cooling (ratio independent of  $\alpha$  in any dimension for purely Gaussian input/output beams)



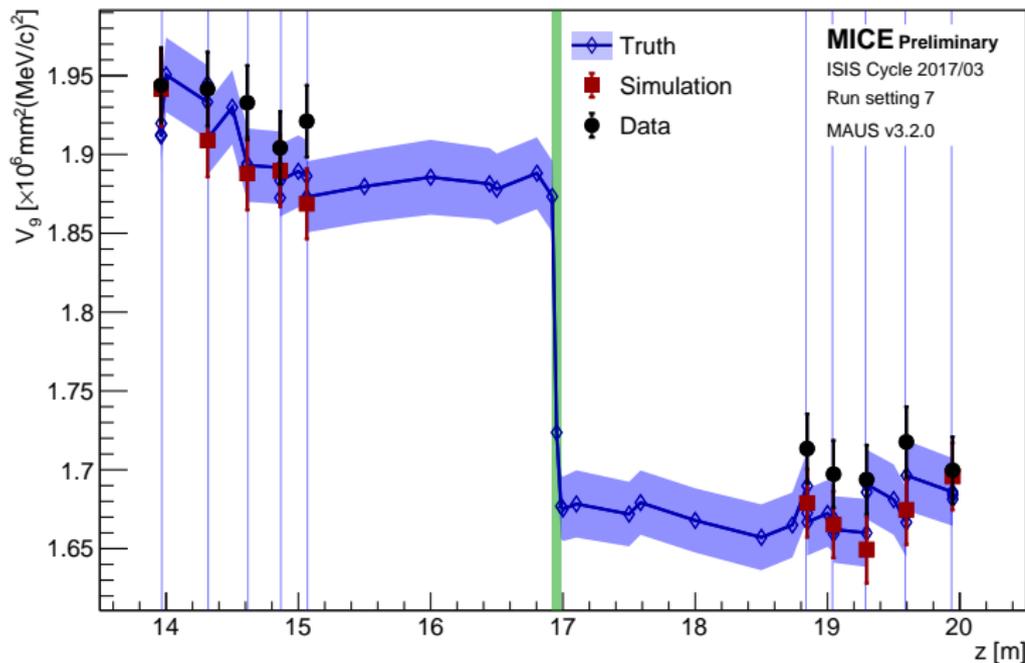
- Can also use the volume of phase space  $V_\alpha$  that has  $\rho > \rho_\alpha$



# (9%) Contour density evolution (kNN) 6-mm 140-MeV/c beam – LiH – flip



# (9%) Contour volume evolution (kNN) 6-mm 140-MeV/c beam – LiH – flip



# Kernel density estimation

Density estimate  $\rho$  at point  $x$

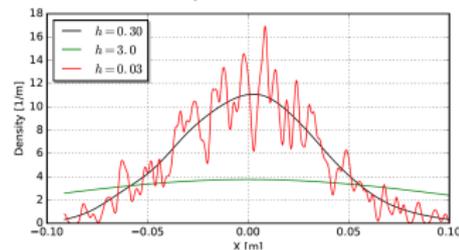
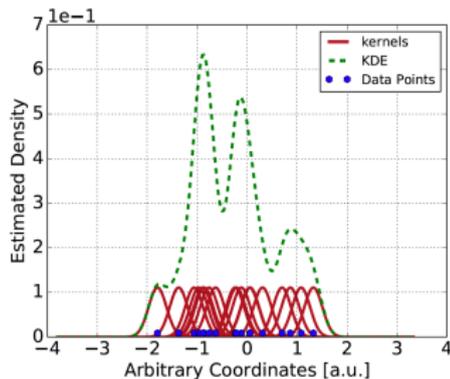
$$\rho(x) = \frac{1}{nh} \sum_{i=1}^n K\left(\frac{x - x_i}{h}\right)$$

where  $K$  is called the kernel function

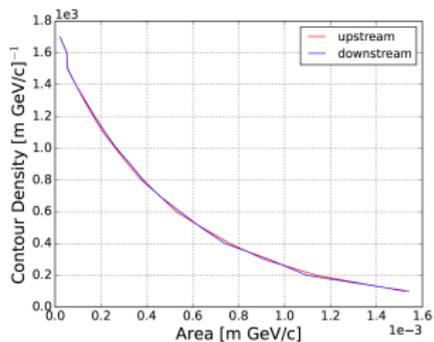
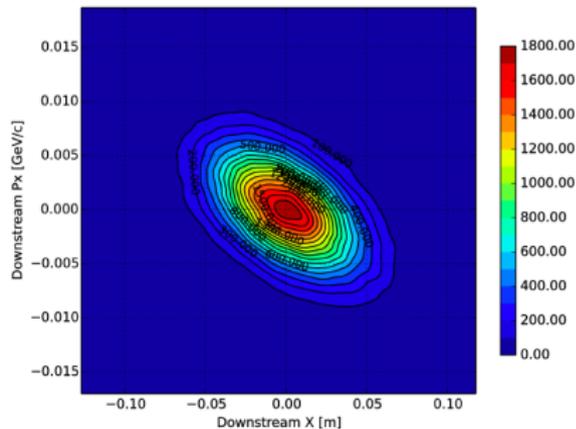
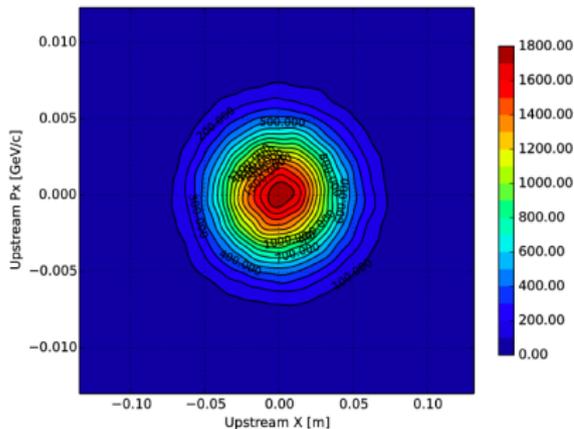
$$\int K(x) dx = 1$$

and  $h$ , the bandwidth parameter. For  $d$ -dimensional phase space, use Gaussian kernel

$$\rho(\mathbf{x}) \propto \sum_i \exp\left[-\frac{1}{2}(\mathbf{x} - \mathbf{x}_i)^T \Sigma^{-1}(\mathbf{x} - \mathbf{x}_i)\right]$$



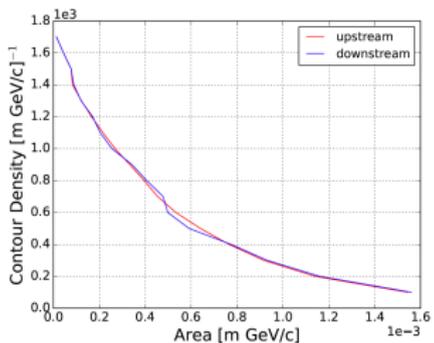
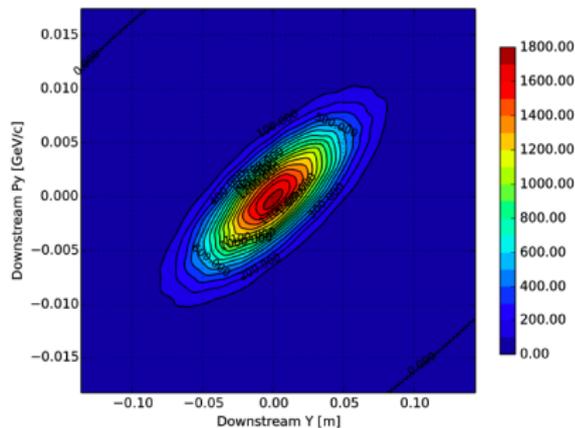
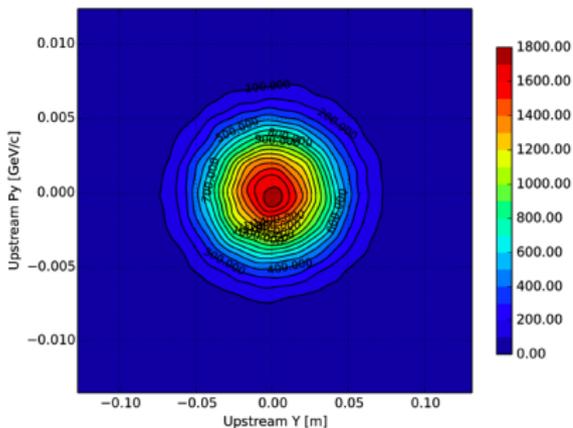
# KDE example



- Gaussian beam with 100k muons through quadrupole
- evaluated on 1k x 1k grid
- 2D contour density and area conserved



# KDE example

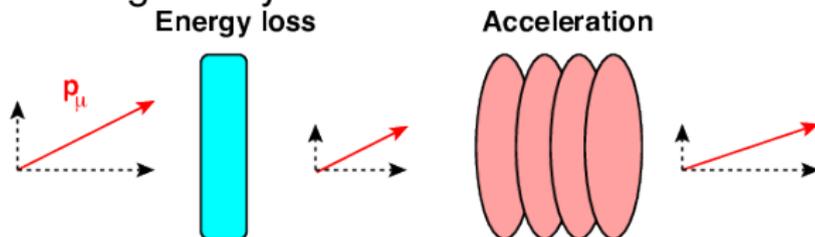


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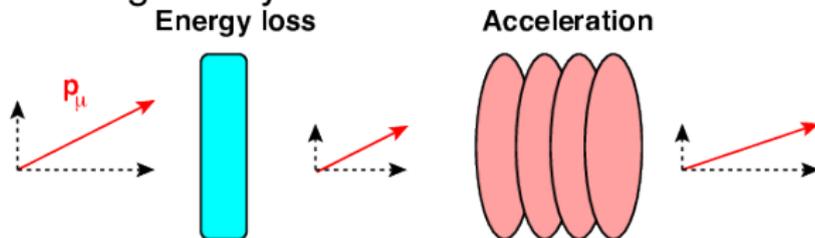
# Emittance exchange

- Cooling mainly transverse in a linear channel

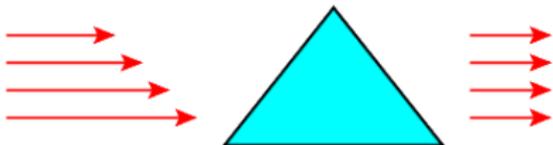


# Emittance exchange

- Cooling mainly transverse in a linear channel

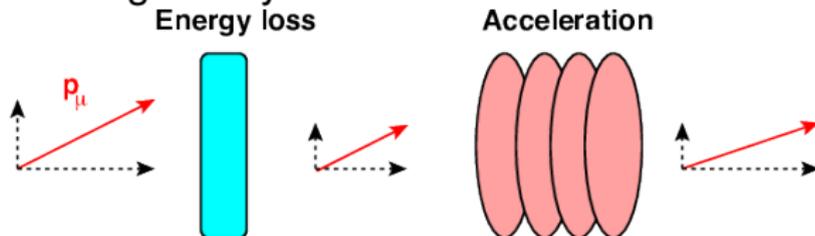


- Longitudinal cooling requires momentum-dependent path-length through the energy absorbers

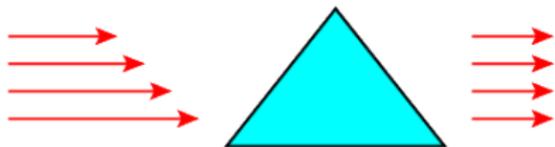


# Emittance exchange

- Cooling mainly transverse in a linear channel



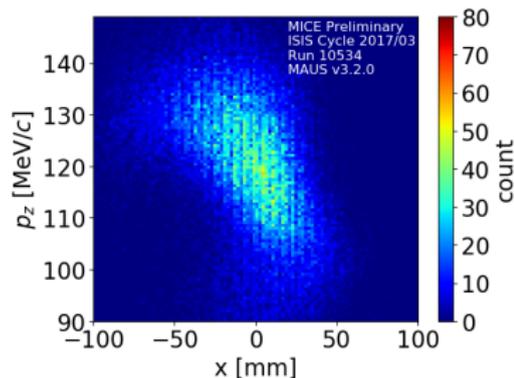
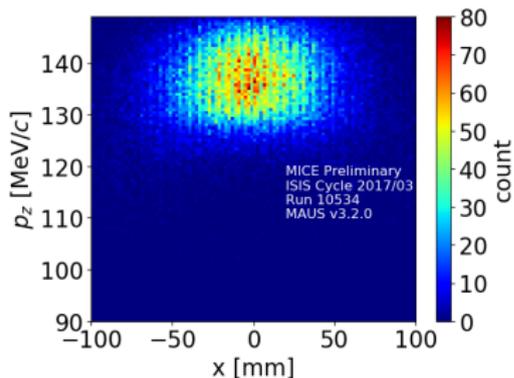
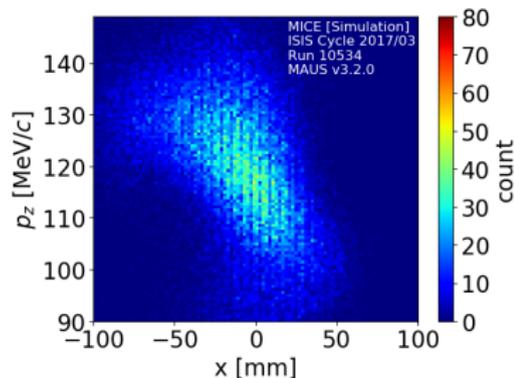
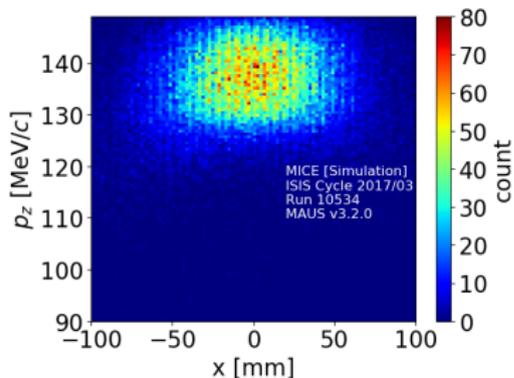
- Longitudinal cooling requires momentum-dependent path-length through the energy absorbers



- Wedge shaped polyethylene absorber for demonstration of (reverse) emittance exchange in MICE

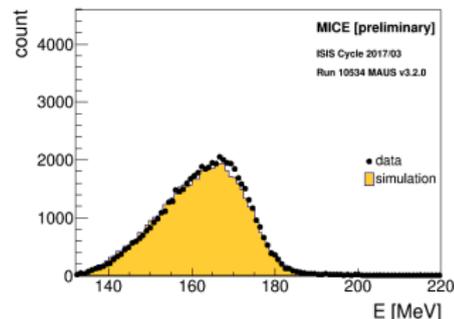
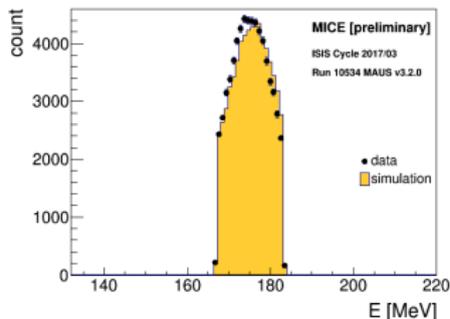


# Reverse emittance exchange 6mm 140-MeV/c beam – polyethylene wedge



# Reverse emittance exchange

## 6mm 140-MeV/c beam – polyethylene wedge

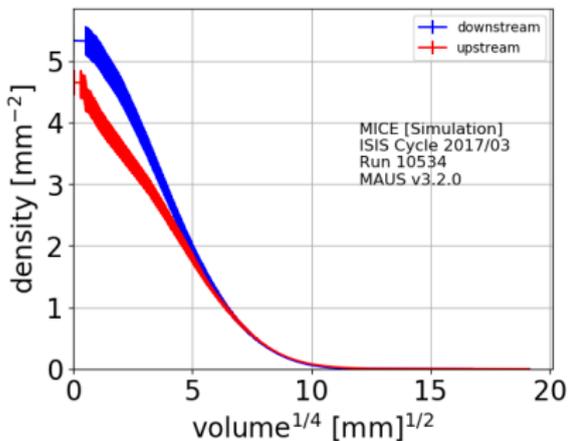
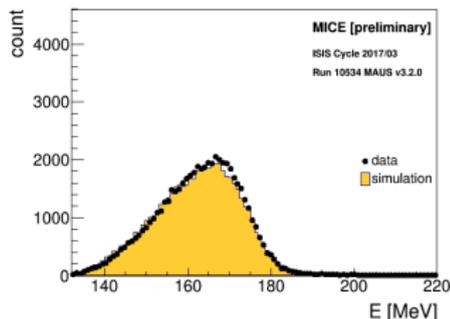
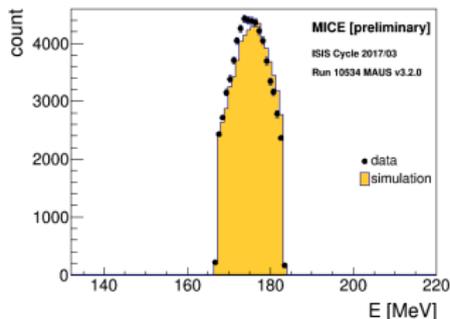


- No RF → longitudinal space is 1D ( $E$ )
  - Longitudinal heating



# Reverse emittance exchange

## 6mm 140-MeV/c beam – polyethylene wedge



- No RF → longitudinal space is 1D ( $E$ )
  - Longitudinal heating
- 4D transverse phase space ( $x, p_x/\langle p \rangle, y, p_y/\langle p \rangle$ )
  - Transverse cooling

