



# Short-range wake fields in plasma acceleration

Sergei Nagaitsev (Fermilab/UChicago)

In collaboration with Valeri Lebedev and Alexey Burov (Fermilab) and  
Stephen Webb and David Bruhwiler (RadiaSoft, LLC)

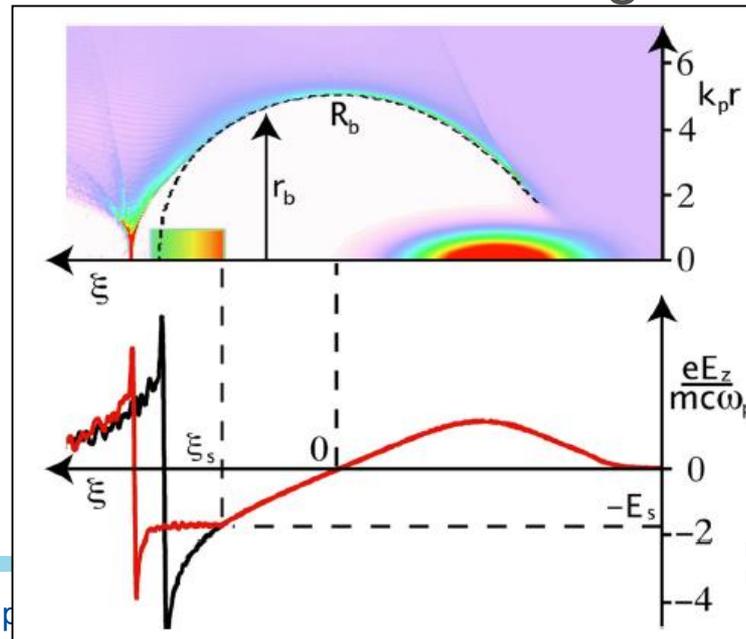
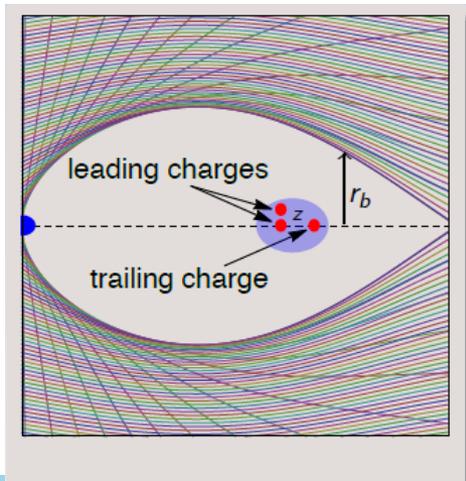
Aug 14, 2018

# Acknowledgements

- We would like to thank our UCLA (Weiming An) and SLAC colleagues for fruitful discussions and computer simulations.
- Some of the results presented in this talk are based on our recent publication, “Efficiency versus instability in plasma accelerators”, Phys. Rev. Accel. Beams 20, 121301 (2017)
- This work is funded by the DOE HEP and by a DOE SBIR Phase 1 grant

# Plasma short-range wakefields

- The terminology of wakefields in plasma can be confusing. The original meaning of the wake in plasma is the field generated by the **drive bunch**, which accelerates the **trailing bunch**. (The driver could be particle beam or laser)
- In this presentation, by wakefields I mean the fields (longitudinal and transverse) with which the trailing bunch acts on itself.

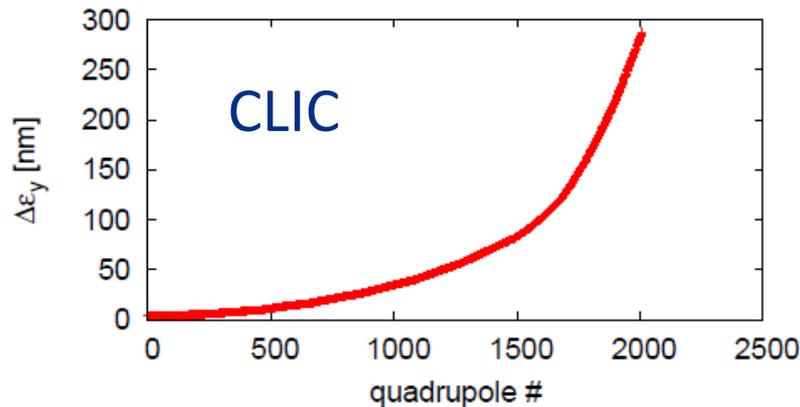
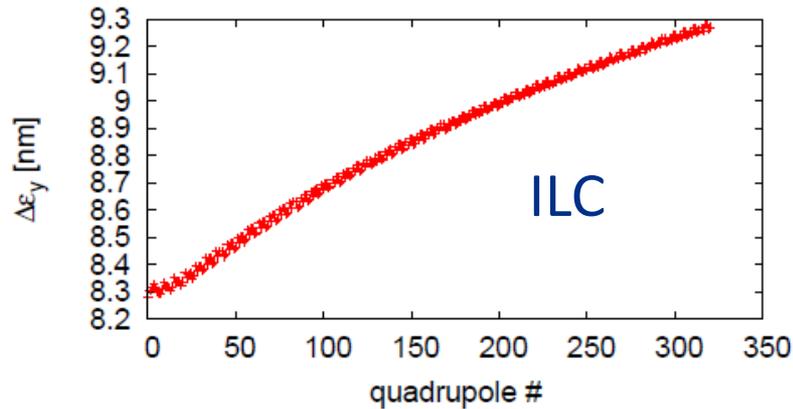


# Transverse beam break-up (BBU) instability

- Transverse wakes act as deflecting force on bunch tail
  - beam position jitter is exponentially amplified

## Beam Stability

- Transverse stability of a beam with initial offset of  $\sigma_y$ 
    - no energy spread assumed in the beam
    - emittance with respect to the beam axis is shown
- ⇒ acceptable for ILC (top)
- ⇒ would be intolerable for CLIC (bottom)



Short-range transverse wake (for solid walls)

$$W_{\perp}(z) = \frac{8z}{a^4}$$

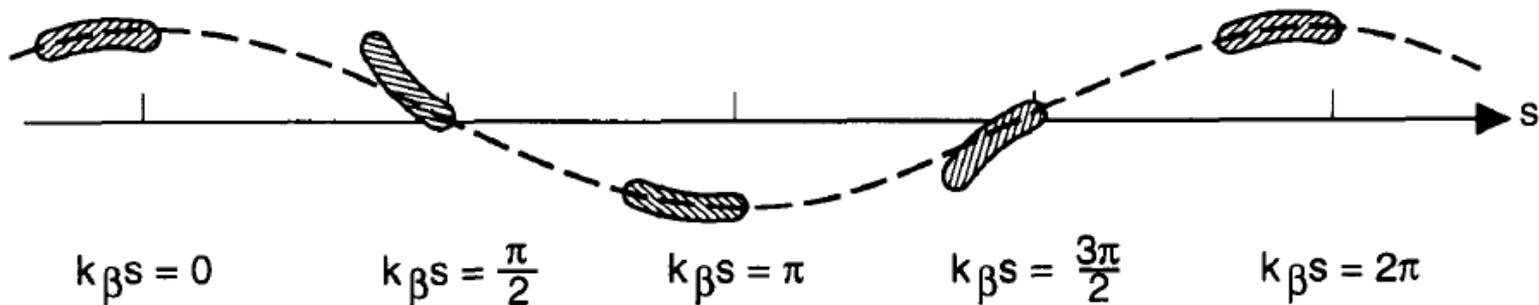
$a \approx 35$  mm (ILC)

$a \approx 3.5$  mm (CLIC)

What about plasma?

$a \sim 0.1$  mm (PWFA)

# BBU illustration



**Figure 3.3.** Sequence of snapshots of a beam undergoing dipole beam breakup instability in a linac. Values of  $k_\beta s$  indicated are modulo  $2\pi$ . The dashed curves indicate the trajectory of the bunch head.

- See A. Chao, “Physics of collective beam instabilities in high energy accelerators (Wiley, 1993).”
- The growth rate is determined by the ratio of defocusing (wake) force to focusing force (the wake parameter):

$$\eta_t = -\frac{F_t}{F_r} = \frac{e^2 r}{F_r(r)} \int_0^L \frac{dN}{d\xi} W_\perp(\xi) d\xi$$

# The BBU instability development

$$\frac{d^2 X(\mu, \xi)}{d\mu^2} + X(\mu, \xi) = \frac{2\eta_t}{L_t^2} \int_0^\xi X(\mu, \xi') (\xi - \xi') d\xi'.$$

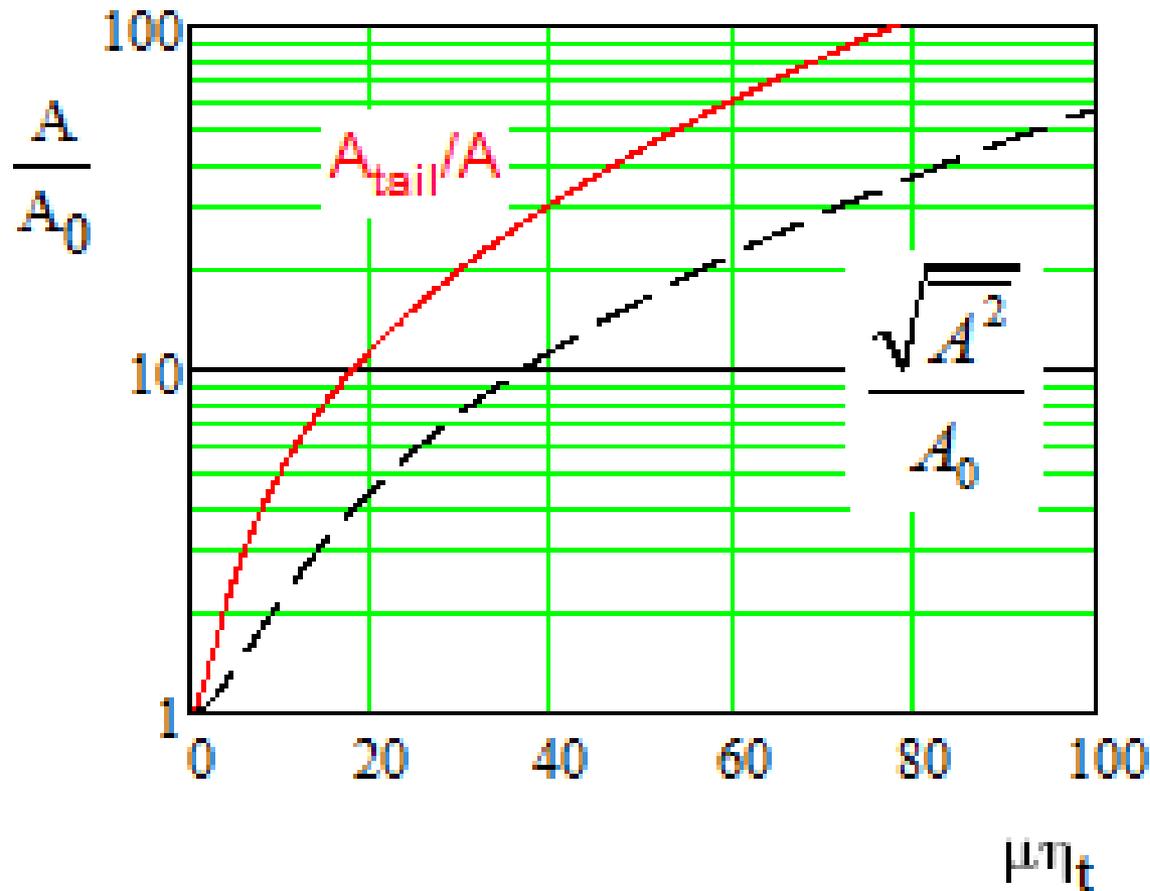
$$X = \frac{x}{\sqrt{\beta}} \sqrt{\frac{p}{p_0}}; \quad \beta = k_p^{-1} \sqrt{2\gamma} \quad d\mu = k_p ds / \sqrt{2\gamma}$$

$\mu$  -- betatron phase advance

- For  $\eta_t \ll 1$  and  $\Delta p / p = 0$  it was solved in:
  - C. B. Schroeder, D. H. Whittum, and J. S. Wurtele, “Multimode Analysis of the Hollow Plasma Channel Wakefield Accelerator”, Phys. Rev. Lett. **82**, n.6, 1999, pp. 1177-1180.
- Approximate solutions (it’s a very good fit, <10% deviation):

$$\frac{A}{A_0} = \exp\left(\frac{(\mu\eta_t)^2}{10 + 1.4(\mu\eta_t)^{1.57}}\right); \quad \begin{array}{l} \mu\eta_t \leq 100 \\ \eta_t \leq 0.1 \end{array}$$

$$\frac{\sqrt{A^2}}{A_0} = \exp\left(\frac{(\mu\eta_t)^2}{60 + 2.2(\mu\eta_t)^{1.57}}\right); \quad \begin{array}{l} \mu\eta_t \leq 100 \\ \eta_t \leq 0.1 \end{array}$$



- Note that  $A$  is a normalized particle amplitude. For a constant plasma density and without instability  $A$  would stay constant, while the initial physical amplitude  $x$  should decrease as  $1/\gamma^4$

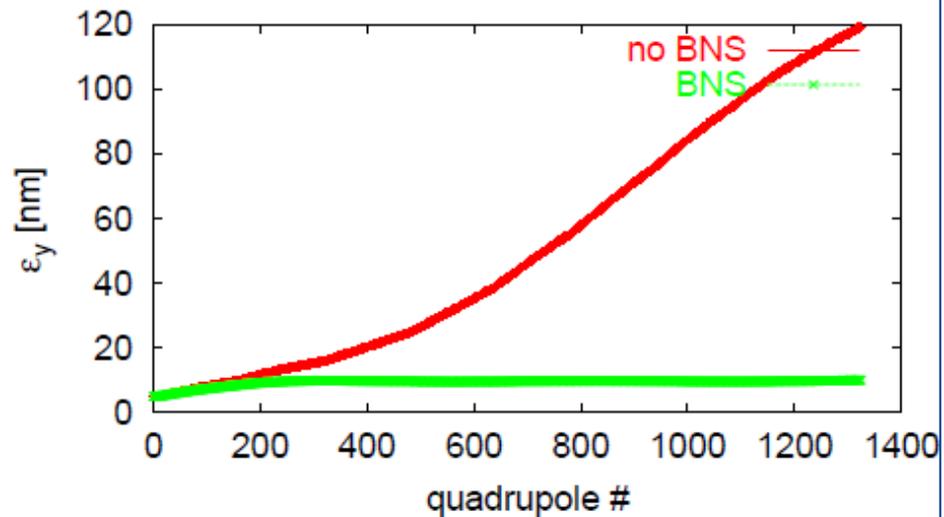
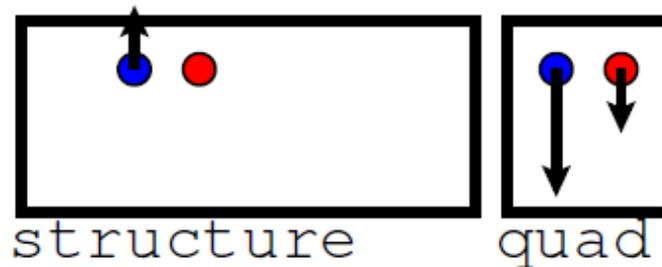
# Beam breakup in various collider concepts

- ILC
  - Not important; bunch rf phase is selected to compensate for long wake and to minimize the momentum spread
- CLIC
  - Important; bunch rf phase is selected to introduce an energy chirp along the bunch for BNS damping ( $\sim 0.5\%$  rms). May need to be de-chirped after acceleration to meet final-focus energy acceptance requirements
- PWFA – **subject of our study**
  - Critical;

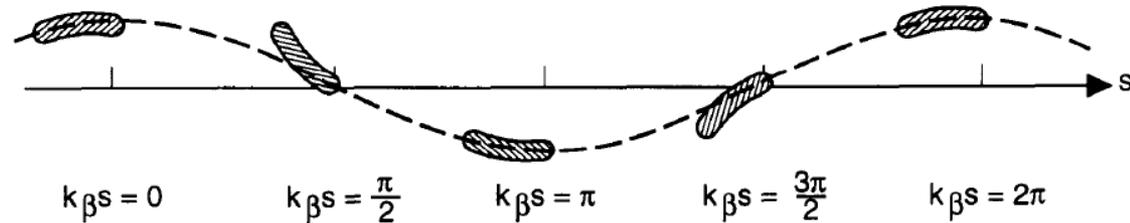
# CLIC strategy: BNS damping + $< \mu\text{m}$ alignment of cavities

## Achieving Beam Stability

- Transverse wakes act as defocusing force on tail  
⇒ beam jitter is exponentially amplified
- BNS (Balakin, Novokhatsky, and Smirnov) damping prevents this growth
  - manipulate RF phases to have energy spread
  - take spread out at end



# Strategy was also used at the SLC...



**Figure 3.3.** Sequence of snapshots of a beam undergoing dipole beam breakup instability in a linac. Values of  $k_{\beta}s$  indicated are modulo  $2\pi$ . The dashed curves indicate the trajectory of the bunch head.

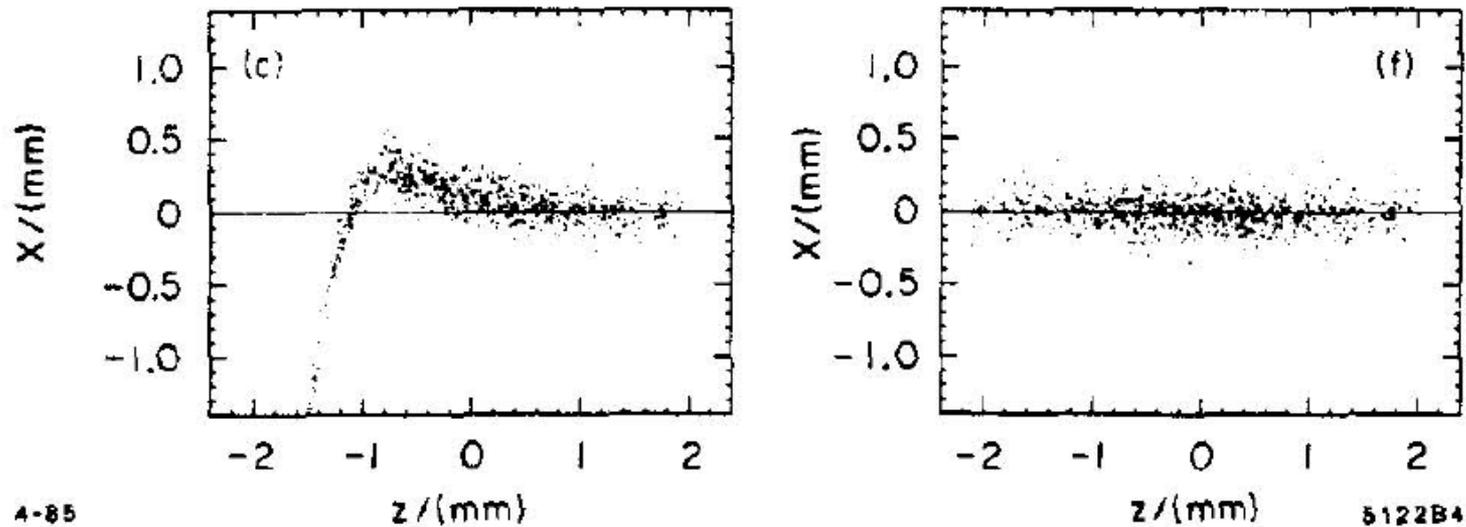


Figure 34: Multiparticle simulation of a particle bunch passing through the SLAC linac without (left) and with BNS damping (right) [36].

# BNS damping: what is it?

- Assume a constant long. density of trailing bunch. Chromatic detuning of tail particles allows to keep amplitudes **constant**

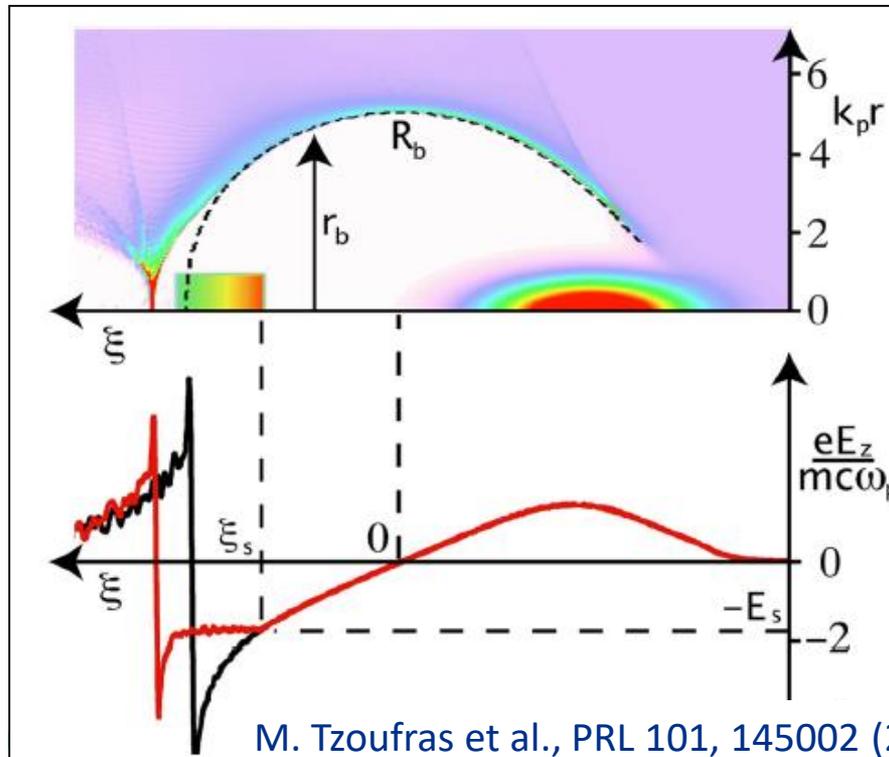
$$\frac{d^2 X(\mu, \xi)}{d\mu^2} + \frac{X(\mu, \xi)}{1 + \Delta p(\xi)/p} = \frac{2\eta_t}{L_t^2} \int_0^\xi X(\mu, \xi') (\xi - \xi') d\xi'.$$

$$\eta_t = -\frac{F_t}{F_r} = \frac{1}{2\pi n_0} \int_0^L \frac{dN}{d\xi} W_\perp(\xi) d\xi \quad \text{-- Transverse wake parameter in a PWA blow-out regime}$$

$$X(\xi) = \text{const} \quad \rightarrow \quad \frac{\Delta p(\xi)}{p} = -\eta_t \frac{\xi^2}{L_t^2}$$

# Acceleration in a plasma blow-out regime

- The Q-factor is very low ( $\sim 1$ ) – must accelerate the trailing bunch within the same bubble as the driver!
- Cannot add energy between bunches, thus a single bunch must absorb as much energy as possible from the wake field.



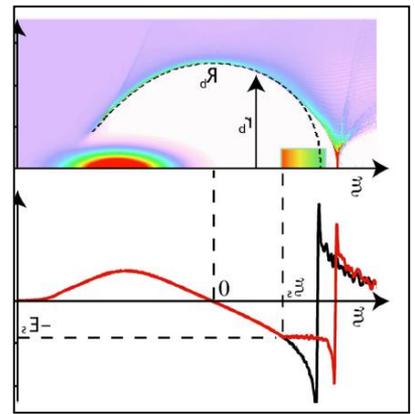
M. Tzoufras et al., PRL 101, 145002 (2008)

To achieve  $L \sim 10^{34}$ , bunches should have  $\sim 10^{10}$  particles (similar to ILC and CLIC). In principle, we can envision a scheme with fewer particles/bunch and a higher rep rate, but the beam loading still needs to be high for efficiency reasons.

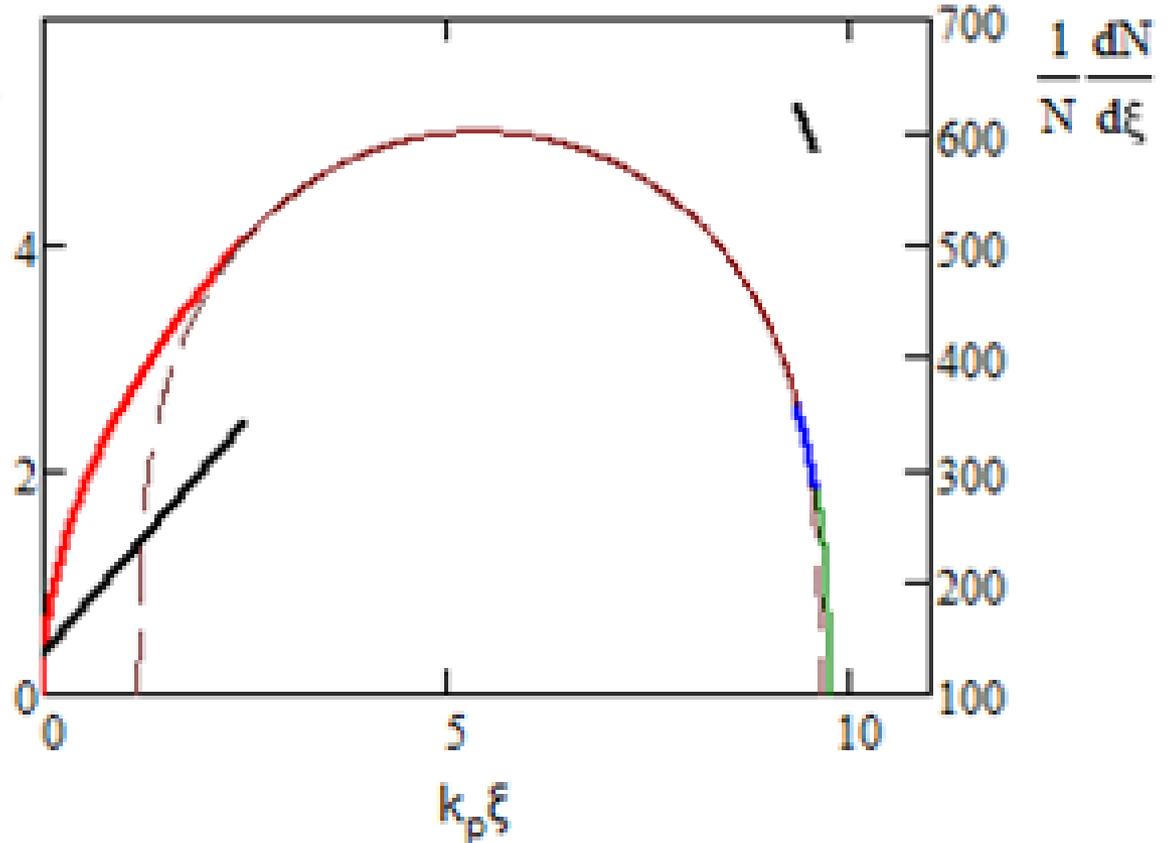
# Power transfer from drive to trailing bunches

Trapezoidal charge line density distribution  $\rightarrow$  constant electric field

Example:  
50% power transfer efficiency drive to trailing



$r_b k_p$



See M. Tzoufras et al.,  
PRL 101, 145002 (2008)

The power transfer efficiency of 50% and the transformer ratio of 2. For  $n_0=10^{17}$  cm<sup>-3</sup> the drive bunch parameters are chosen to be  $R_b k_p=5$ ,  $L_d k_p=2.5$  yielding the decelerating field of  $E_d= 50$  GV/m and  $N_d=3.55 \cdot 10^{10}$ . The trailing bunch parameters are:  $r_{t2}=0.518 R_b$ ,  $r_{t1}=0.373 R_b$ ,  $E_t= 100$  GV/m,  $N_t=8.86 \cdot 10^9$ .



# The efficiency-instability relation in a blowout regime

$$\eta_t \approx \frac{\eta_P^2}{4(1-\eta_P)}, \quad \frac{r_{t2}}{R_b} \leq 0.7$$

- This formula does not include any details of beams and plasma, being amazingly universal!
- Note: this formula is an estimate on a “low side”. On a “high side”, we estimate it as:  $\eta_t \approx \eta_P^2 / (4(1-\eta_P)^2)$
- Example:  $\eta_P = 50\% \rightarrow 0.125 < \eta_t < 0.25$   
 $\eta_P = 25\% \rightarrow 0.021 < \eta_t < 0.028$

See: “Efficiency versus instability in plasma accelerators”, PRAB 20, 121301, 2017

## Examples (FACET-II)

Plasma:  $n_0 = 4 \times 10^{16} \text{ cm}^{-3}$ , 60 cm long channel

- $p_i = 10 \text{ GeV}/c$  for both the drive and the trailing bunches, and the final momentum of trailing bunch  $p_f = 21 \text{ GeV}/c$ ,  $N_d = 1 \times 10^{10}$  and  $N_t = 4.3 \times 10^9$

$$\eta_P = 50\%, \quad \eta_t \approx 0.12, \quad \mu\eta_t \approx 11.5 \quad \rightarrow \quad \frac{A}{A_0} \approx 5.8$$

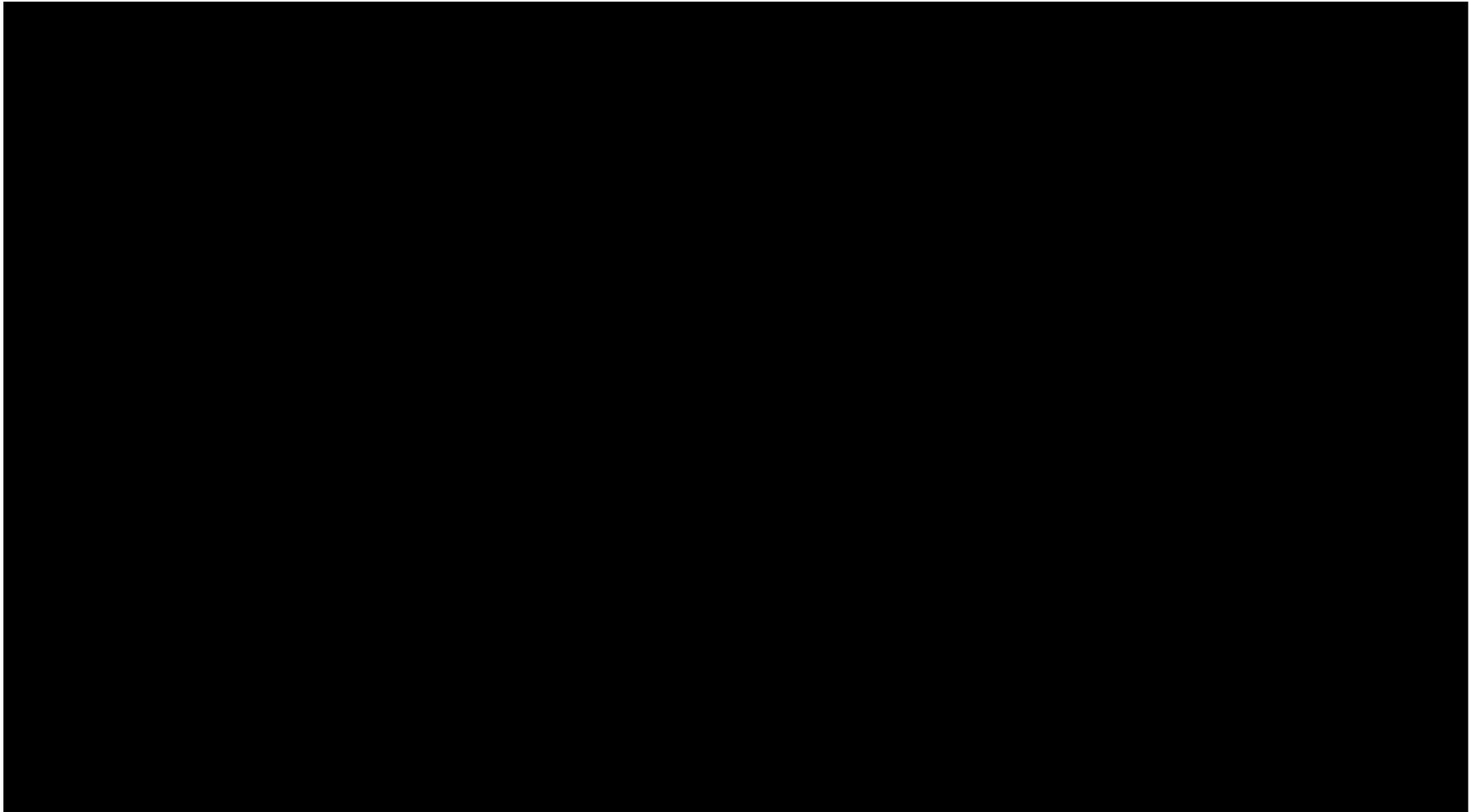
- If one reduces the power efficiency:

$$\eta_P = 25\%, \quad \eta_t \approx 0.021, \quad \mu\eta_t \approx 2 \quad \rightarrow \quad \frac{A}{A_0} \approx 1.3$$

- Of course, the final momentum is now  $p_f = 15.5 \text{ GeV}/c$  (for the same number of particles)

$$\delta\varepsilon_n = \frac{\delta x^2}{2\beta_i} \gamma_i \left( \frac{\overline{A^2}}{A_0^2} \right), \quad \beta_i = \frac{\sqrt{2\gamma_i}}{k_p}$$

**Case I: ~50% power efficiency**  $\eta_P = 50\%$ ,  $\eta_t \approx 0.13$



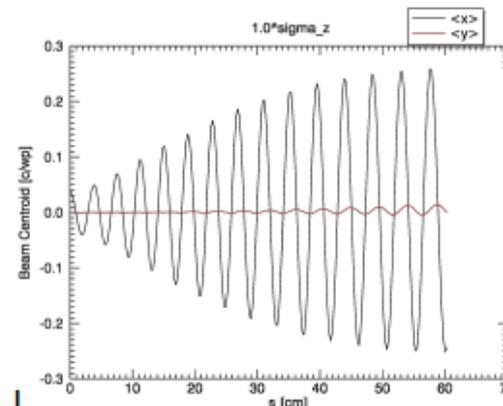
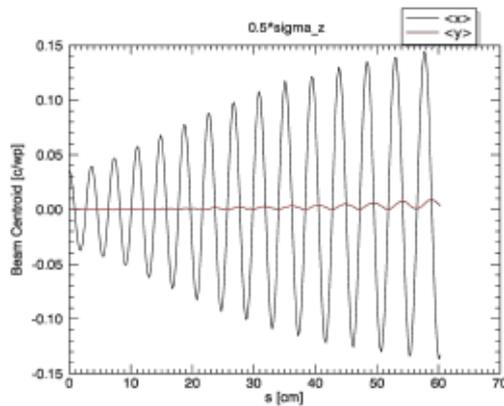
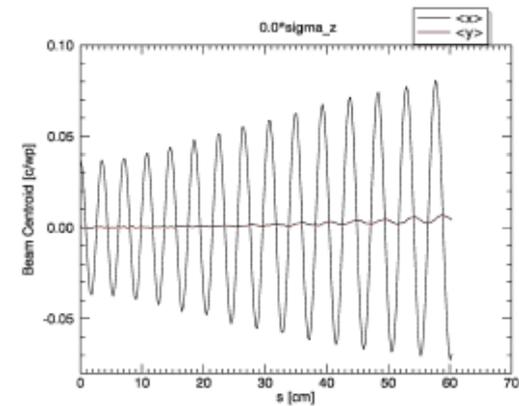
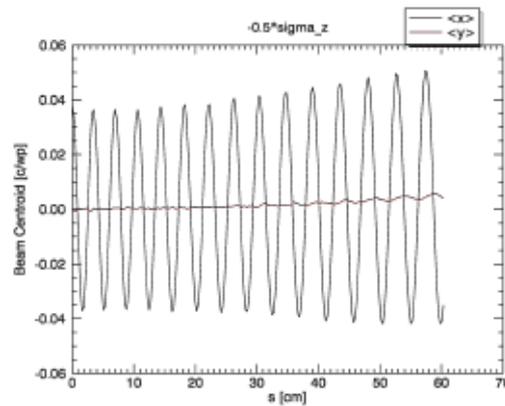
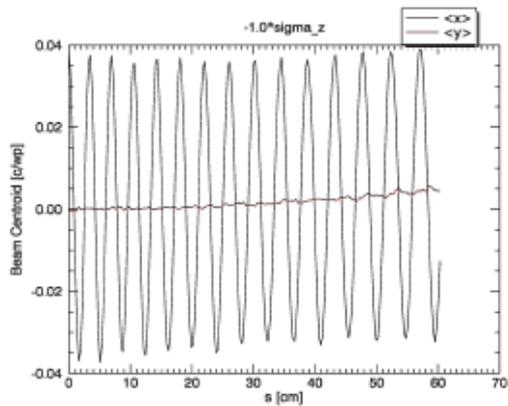
Courtesy of UCLA

**Drive Beam:**  $E = 10$  GeV,  $I_{\text{peak}} = 15$  kA  
 $\sigma_r = 3.65$   $\mu\text{m}$ ,  $\sigma_z = 12.77$   $\mu\text{m}$ ,  
 $N = 1.0 \times 10^{10}$  (1.6 nC),  $\epsilon_N = 10$   $\mu\text{m}$

**Trailing Beam:**  $E = 10$  GeV,  $I_{\text{peak}} = 9$  kA  
 $\sigma_r = 3.65$   $\mu\text{m}$ ,  $\sigma_z = 6.38$   $\mu\text{m}$ ,  
 $N = 4.33 \times 10^9$  (0.69 nC),  $\epsilon_N = 10$   $\mu\text{m}$   
 (transversely offset by 1  $\mu\text{m}$ )

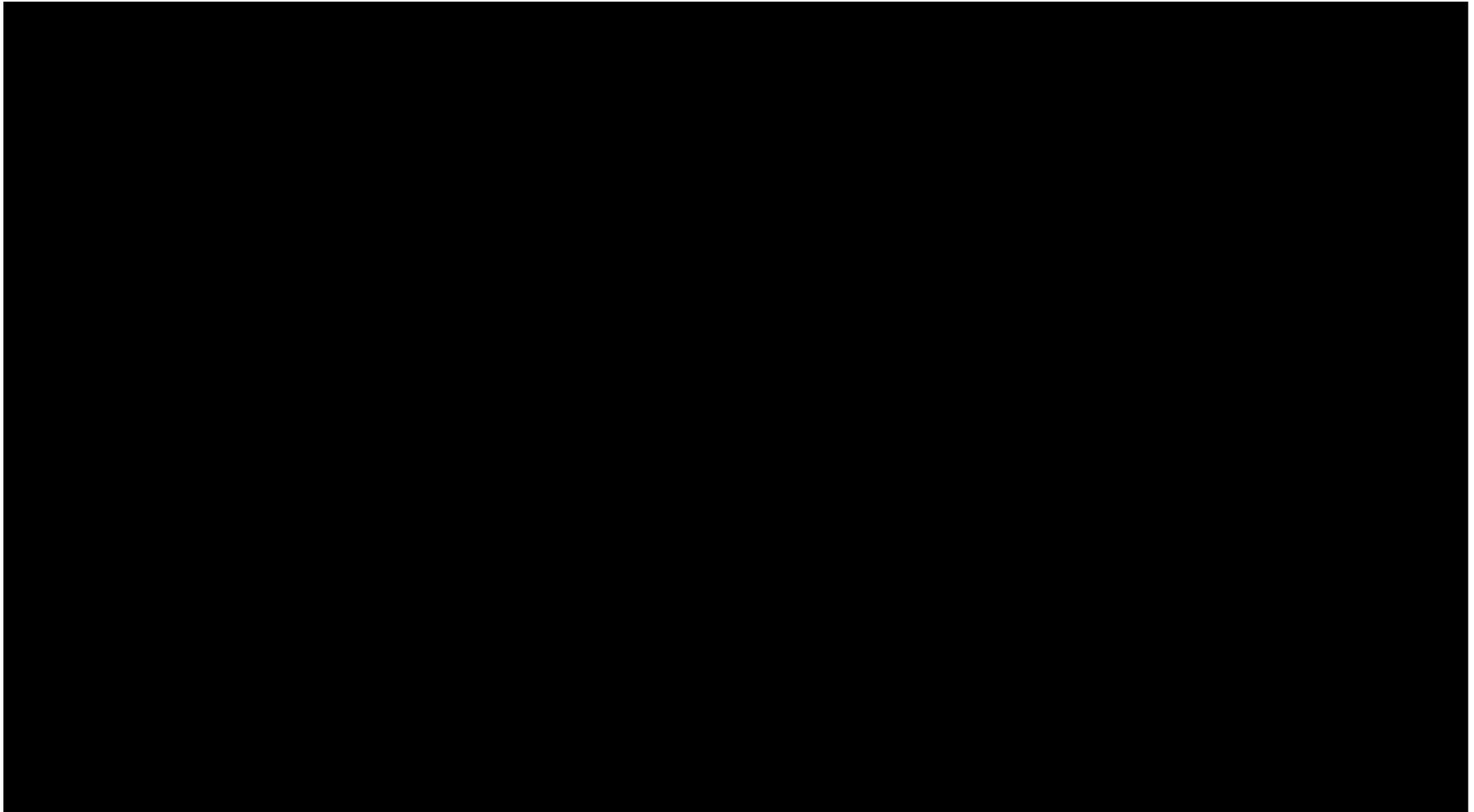
**Distance between two bunches:** 150  $\mu\text{m}$   
**Plasma Density:**  $4.0 \times 10^{16}$   $\text{cm}^{-3}$

Trailing beam centroid vs s in different slices



50% power efficiency

**Case II: ~25% power efficiency**      $\eta_P = 25\%$ ,  $\eta_t \approx 0.02$



Courtesy of UCLA

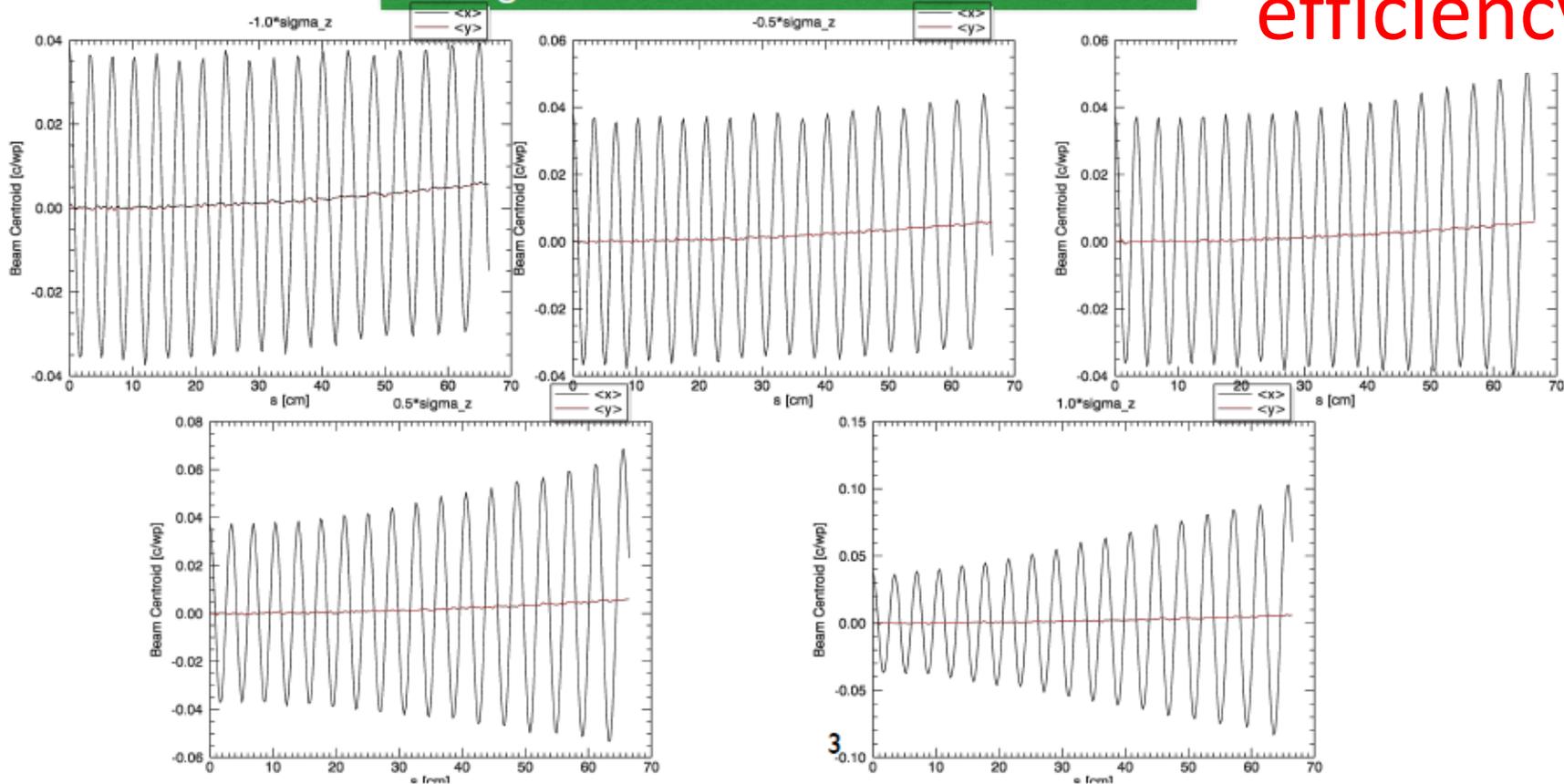
**Drive Beam:**  $E = 10$  GeV,  $I_{\text{peak}} = 15$  kA  
 $\sigma_r = 3.65$   $\mu\text{m}$ ,  $\sigma_z = 12.77$   $\mu\text{m}$ ,  
 $N = 1.0 \times 10^{10}$  (1.6 nC),  $\epsilon_N = 10$   $\mu\text{m}$

**Trailing Beam:**  $E = 10$  GeV,  $I_{\text{peak}} = 9$  kA  
 $\sigma_r = 3.65$   $\mu\text{m}$ ,  $\sigma_z = 6.38$   $\mu\text{m}$ ,  
 $N = 4.33 \times 10^9$  (0.69 nC),  $\epsilon_N = 10$   $\mu\text{m}$   
 (transversely offset by 1  $\mu\text{m}$ )

**Distance between two bunches:** 108  $\mu\text{m}$   
**Plasma Density:**  $4.0 \times 10^{16}$   $\text{cm}^{-3}$

25% power efficiency

Trailing beam centroid vs s in different slices



# Mitigation by momentum chirp (classical BNS)

$$\frac{d^2 X}{d\mu^2} + \frac{X}{1 + \Delta p / p} = \frac{2\eta_t}{L_t^2} \int_0^\xi X(\xi') (\xi - \xi') d\xi'.$$

Goal:

$$X(\xi) = \text{const} \quad \rightarrow \quad \frac{\Delta p(\xi)}{p} = -\eta_t \frac{\xi^2}{L_t^2} \quad \boxed{\eta_P = 50\%, \eta_t \approx 0.13}$$

- The maximum allowed momentum spread might be determined by the stage-to-stage transition optics
- If one can tolerate  $\frac{\Delta p}{p} \leq 1\%$  than  $\eta_t \leq 0.01$

$$\boxed{\eta_t \approx \frac{\eta_P^2}{4(1 - \eta_P)}}$$

CLIC Design:  $\frac{\Delta p}{p} \leq 0.5\%$

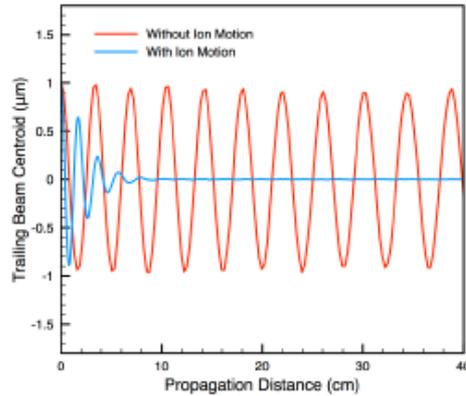
→ Therefore, the max power efficiency is

$$\boxed{\eta_P \leq 18\%}$$

# The role of plasma ions

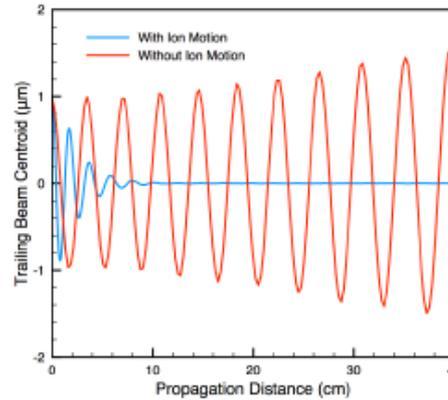
- So far, we considered plasma ions to be stationary (constant transverse focusing).
- In fact, if the bunch density is high enough, the plasma ions are pulled into the electron bunch and create nonlinear focusing.
- Effect was considered first by J. Rosenzweig et al, PRL95, 195002 (2005). Found to be detrimental because of emittance growth.
  
- However, nonlinear focusing might be helpful to suppress the BBU instability (by allowing some emittance growth)
- Recent simulations performed by Weiming An (UCLA) et al.
  - <https://conf.slac.stanford.edu/facet-2-2017/agenda>
  - PRL 118, 244801 (2017)

Head



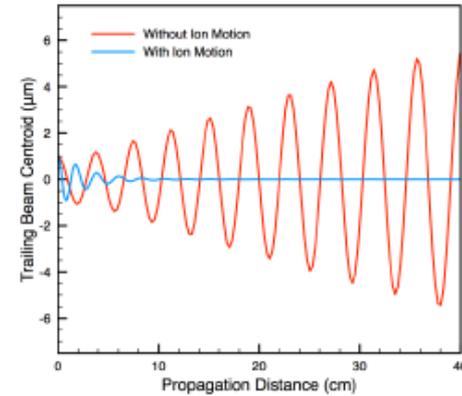
$$\xi = -\sigma_z$$

Center



$$\xi = 0$$

Tail



$$\xi = \sigma_z$$

**Drive Beam:**  $E = 10$  GeV,  $I_{\text{peak}} = 15$  kA  
 $\sigma_r = 0.516$   $\mu\text{m}$ ,  $\sigma_z = 12.77$   $\mu\text{m}$ ,  
 $N = 1.0 \times 10^{10}$  (1.6 nC),  $\epsilon_N = 1$   $\mu\text{mrad}$

**Trailing Beam:**  $E = 10$  GeV,  $I_{\text{peak}} = 9$  kA  
 $\sigma_r = 0.516$   $\mu\text{m}$ ,  $\sigma_z = 6.38$   $\mu\text{m}$ ,  
 $N = 4.33 \times 10^9$  (0.69 nC),  $\epsilon_N = 1$   $\mu\text{mrad}$   
 (transversely offset by 1  $\mu\text{m}$ )

Power efficiency: 50%

Emittance growth: ~a factor of two

See: <https://conf.slac.stanford.edu/facet-2-2017/agenda>

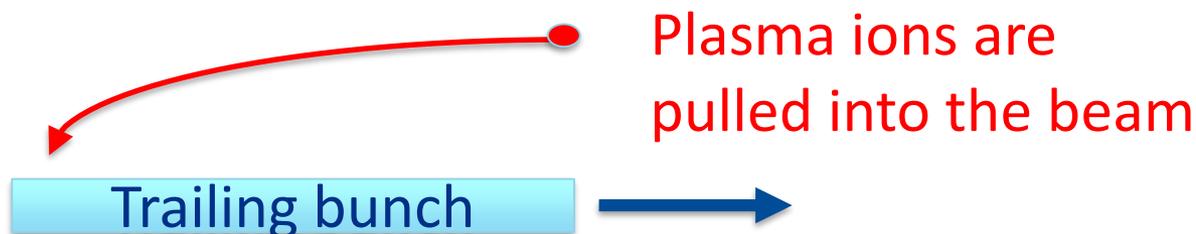
# BNS damping by plasma ions (new idea!)

arXiv:1808.03860

$$\frac{d^2 X}{d\mu^2} + \left( 1 + 2 \frac{\Delta\omega_{\perp}}{\omega_{\perp}} \right) X = \frac{2\eta_t}{L_t^2} \int_0^{\xi} X(\xi') (\xi - \xi') d\xi'.$$

$$2 \frac{\Delta\omega_{\perp}(\xi)}{\omega_{\perp}} = \eta_t \frac{\xi^2}{L_t^2} \quad \text{-- the betatron frequency increases from bunch head to tail}$$

This focusing variation is normally achieved by an energy chirp, but in PWA, there may be an additional mechanism – plasma ion mobility



Assuming ion density variation is small:

$$\frac{\Delta n_i(\xi)}{n_i} \approx 2\pi n_t r_i \xi^2$$

$$n_t = N / 4\pi L_t \sigma_{\perp}^2$$

# Plasma ions at FACET-II....

- Since  $\frac{\Delta\omega_{\perp}(\xi)}{\omega_{\perp}} = \frac{\Delta n_i}{2n_i}$  we would like to have  $\frac{Nr_iL_t}{2\sigma_{\perp}^2} = \eta_t$

- For FACET-II parameters: 10 GeV,  $n_0 = 4 \times 10^{16} \text{ cm}^{-3}$

$$N = 10^{10}, L = 5 \mu\text{m}$$

**Goal:**  $\eta_P = 50\%, \eta_t \approx 0.13$

For the rms norm emittance  $1 \mu\text{m}$  we should observe BNS damping due to ion mobility (at 50% power efficiency)

$$\frac{Nr_iL_t}{2\sigma_{\perp}^2} = 0.13$$

For the rms norm emittance  $10 \mu\text{m}$  we will not observe BNS damping due to ions (at 50% power efficiency)

$$\frac{Nr_iL_t}{2\sigma_{\perp}^2} = 0.013$$

These examples are based on hydrogen plasma  
**arXiv:1808.03860**

# Conclusions

- We have found a universal **efficiency-instability relation** for plasma acceleration. Should allow for tolerance and instability analysis without detailed computer simulations.
  - “Efficiency versus instability in plasma accelerators”, PRAB 20, 121301 (2017)
  - We considered only ideal “trapezoidal” distributions. Real-life distributions may be worse (from the efficiency perspective).
- In a blowout regime, plasma focusing is just strong enough to keep the instability in check for low power efficiencies (<25%)
  - Even for such efficiencies, external focusing and hollow channels are very challenging because of transverse BBU instability.
  - Presents obvious difficulties for positrons
- Classical BNS damping is possible but external optical systems may limit the momentum spread to ~1% max. Thus, the power efficiency (drive to trailing) can not exceed ~18%.
- BNS damping may be based on ion mobility for some range of bunch and plasma parameters. Can be tested at FACET-II.
  - Preparing an experimental proposal

# SBIR funded collaboration with RadiaSoft LLC



“Maximizing the efficiency of plasma-based lepton accelerators”

PI: Stephen Webb, Office of High Energy Physics, Award # DE-SC0018718

Phase 1 period of performance: July 2018 to June 2019

Phase 2 vision: Maximize efficiency of plasma-based accelerators

- a) help to understand, quantify and mitigate instabilities
- b) computational support for experiments at FACET-II

## Phase 1 goals:

Assess value of impedance, wake functions in plasma  
Demonstrate accurate calculation of impedance via PIC  
Benchmark with theory; help to plan FACET-II exp'ts

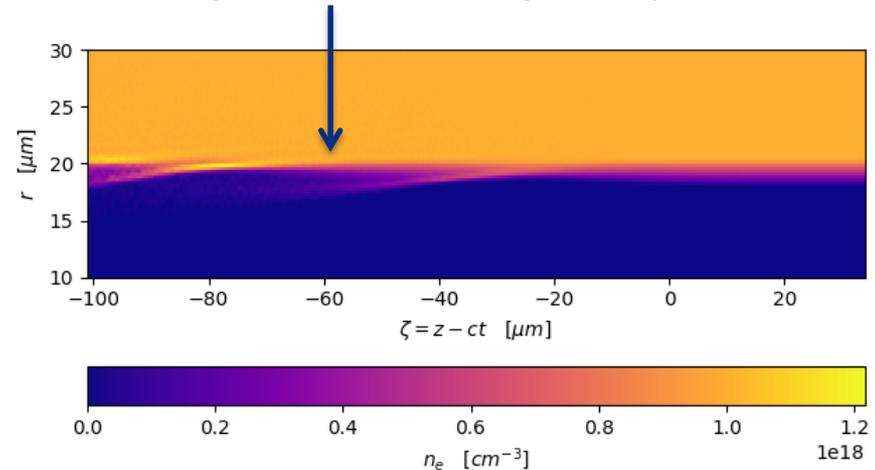
## Working with FBPIC

R. Lehe *et al.*, *Comp. Phys. Comm.*, 203, p. 66 (2016).  
On GitHub, <https://github.com/fbpic/fbpic>

## Initial benchmarking results

Started with e- beam driver in hollow channel  
C.A. Lindstrom *et al.*, *PRL* 120, 124802 (2018).  
C. Schroeder *et al.*, *PRL* 82, p. 1177 (1999).  
Quantitative agreement seen for short times

FBPIC simulations of e- beam in hollow channel shows agreement with theory for short times; channel edge evolves, breaking assumptions

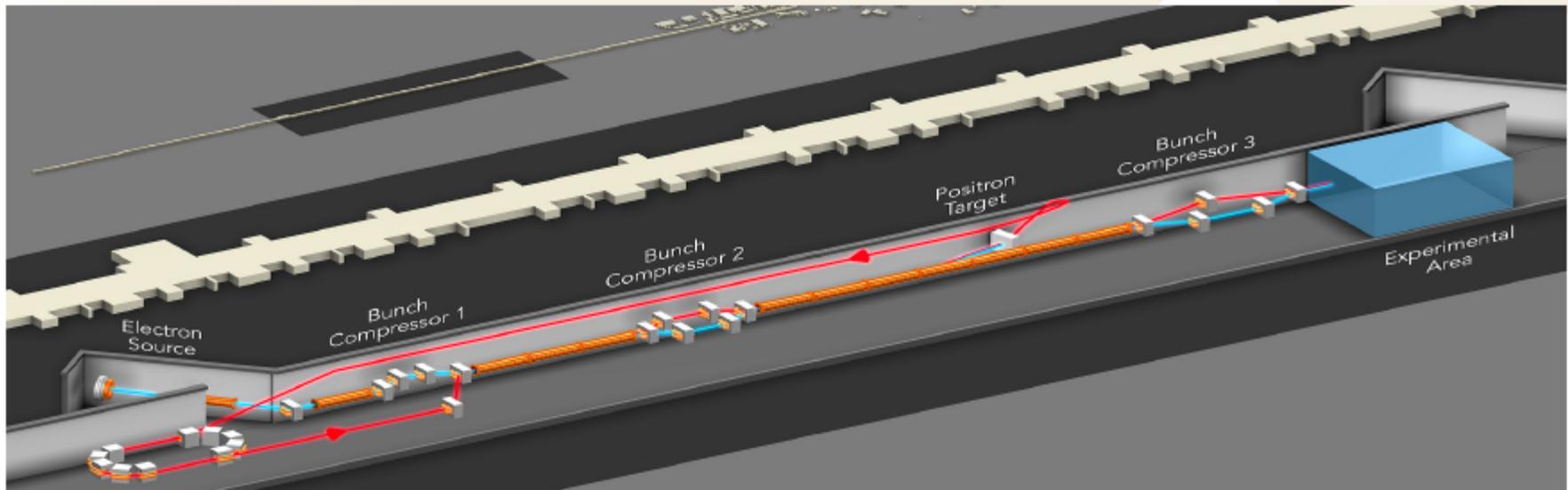


# Summary

- We now have a very interesting proposal for FACET-II to test our findings of emittance growth vs beam loading, and BNS damping vs beam emittance
  - Large parameter space to explore (small to large beam emittances, ion motion, plasma bubble size, etc)
- Our conclusions require confirmation by computer simulations and by experiments, especially in regimes of smaller bubble sizes.
  - Hence our collaboration with RadiaSoft, SLAC, University of Oslo, CERN and UCLA groups.

# Extra slides

# FACET-II Layout and Beams



Electron Beam Parameter	Baseline Design	Operational Ranges	Positron Beam Parameter	Baseline Design	Operational Ranges
Final Energy [GeV]	10	4.0-13.5	Final Energy [GeV]	10	4.0-13.5
Charge per pulse [nC]	2	0.7-5	Charge per pulse [nC]	1	0.7-2
Repetition Rate [Hz]	30	1-30	Repetition Rate [Hz]	5	1-5
Norm. Emittance $\gamma\epsilon_{x,y}$ at S19 [ $\mu\text{m}$ ]	4.4, 3.2	3-6	Norm. Emittance $\gamma\epsilon_{x,y}$ at S19	10, 10	6-20
Spot Size at IP $\sigma_{x,y}$ [ $\mu\text{m}$ ]	18, 12	5-20	Spot Size at IP $\sigma_{x,y}$ [ $\mu\text{m}$ ]	16, 16	5-20
Min. Bunch Length $\sigma_z$ (rms) [ $\mu\text{m}$ ]	1.8	0.7-20	Min. Bunch Length $\sigma_z$ (rms)	16	8
Max. Peak current $I_{pk}$ [kA]	72	10-200	Max. Peak current $I_{pk}$ [kA]	6	12